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|---|--|---|--|--|--|
| FORM PTO-1390 (REV. 9-2001) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER SYN-128 | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/019823</div> <div style="font-size: 0.8em;">unassigned</div> | |
| INTERNATIONAL APPLICATION NO. PCT/GB00/02457 | | INTERNATIONAL FILING DATE 06/23/2000 | | PRIORITY DATE CLAIMED 06/29/1999 | |
| TITLE OF INVENTION Insecticidal Proteins from Paecilomyces and Synergistic Combinations Thereof | | | | | |
| APPLICANT(S) FOR DO/EO/US Griffin, J. Carlile, A.; Cayley, P.; Mackay, E.; Warner S.; Vincent, J.; Lee, M.; | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | |
| 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | |
| 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | |
| 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). | | | | | |
| 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | |
| <div style="margin-left: 20px;"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </div> | | | | | |
| 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | |
| <div style="margin-left: 20px;"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). </div> | | | | | |
| 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | |
| <div style="margin-left: 20px;"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. </div> | | | | | |
| 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). | | | | | |
| 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | |
| 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | |
| 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | |
| 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | |
| 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. | | | | | |
| 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. | | | | | |
| 15. <input type="checkbox"/> A substitute specification. | | | | | |
| 16. <input type="checkbox"/> A change of power of attorney and/or address letter. | | | | | |
| 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. | | | | | |
| 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | |
| 19. <input checked="" type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | |
| 20. <input type="checkbox"/> Other items or information: International Search Report International Preliminary Examination Report | | | | | |

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|---|--|--|--|--|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.53) 11/01/9823 Unassigned | | INTERNATIONAL APPLICATION NO. PCT/GB00/02457 | | ATTORNEY'S DOCKET NUMBER SYN-128 | |
|---|--|--|--|--|--|

| 21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | CALCULATIONS PTO USE ONLY | | | | | | | | | | | | | | | | | | | | | |
|--|----------------------------------|--------------|--------------|------------|----|--------------|-----------|----|-----------|-----------|--------------------|---------|---|-----------|-----------|---|--|--|--|------------|----|--|
| | \$ 890.00 | | | | | | | | | | | | | | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | \$ | | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:20%;">CLAIMS</th> <th style="width:20%;">NUMBER FILED</th> <th style="width:20%;">NUMBER EXTRA</th> <th style="width:20%;">RATE</th> <th style="width:20%;">\$</th> </tr> <tr> <td>Total claims</td> <td>51 - 20 =</td> <td>31</td> <td>x \$18.00</td> <td>\$ 558.00</td> </tr> <tr> <td>Independent claims</td> <td>6 - 3 =</td> <td>3</td> <td>x \$84.00</td> <td>\$ 252.00</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$280.00</td> </tr> </table> | CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | Total claims | 51 - 20 = | 31 | x \$18.00 | \$ 558.00 | Independent claims | 6 - 3 = | 3 | x \$84.00 | \$ 252.00 | MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + \$280.00 | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | | | | | | | | | | | | | | | | | | |
| Total claims | 51 - 20 = | 31 | x \$18.00 | \$ 558.00 | | | | | | | | | | | | | | | | | | |
| Independent claims | 6 - 3 = | 3 | x \$84.00 | \$ 252.00 | | | | | | | | | | | | | | | | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + \$280.00 | | | | | | | | | | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | \$1,700.00 | 1,700.00 | | | | | | | | | | | | | | | | | | | | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | \$ | | | | | | | | | | | | | | | | | | | | | |
| SUBTOTAL = | \$ | 1,700.00 | | | | | | | | | | | | | | | | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | \$ | | | | | | | | | | | | | | | | | | | | | |
| TOTAL NATIONAL FEE = | \$ | | | | | | | | | | | | | | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | \$ | | | | | | | | | | | | | | | | | | | | | |
| TOTAL FEES ENCLOSED = | \$ | 1,700.00 | | | | | | | | | | | | | | | | | | | | |
| | Amount to be refunded: | \$ | | | | | | | | | | | | | | | | | | | | |
| | charged: | \$1,700.00 | | | | | | | | | | | | | | | | | | | | |

a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 08-0219 in the amount of \$ 1,700.00 to cover the above fees.
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. 08-0219. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Colleen Superko
 Hale and Dorr LLP
 60 State Street
 Boston, MA 02109

SIGNATURE
 Colleen Superko
 NAME
 39,850
 REGISTRATION NUMBER

100 10/019823.02
JG13 Rec'd PCT/PTO 21 DEC 2001

PATENTS
Attorney Docket No. SYN-128

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(DO/EO/US)

| | | | |
|--------------|---|-----------|------------|
| Applicant: | Carlile <i>et al.</i> | Art Unit: | Unassigned |
| Serial No.: | Unassigned | Examiner: | Unassigned |
| Filing Date: | Herewith | | |
| Title: | Insecticidal Proteins From Paecilomyces And Synergistic Combinations Thereof | | |

BOX PCT
Assistant Commissioner for Patents
Washington, DC 20231

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence is being deposited on December 21, 2001 with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 "Express Mail" Mailing Label EL703689075US in an envelope addressed to Box PCT, Assistant Commissioner for Patents, Washington, DC 20231.

12/21/01
Date of signature and
of mail deposit

Teresa Carvalho
Teresa Carvalho

PRELIMINARY AMENDMENT

Sir:

Prior to the substantive examination of the above-identified application,
kindly amend the application as follows:

Amendments to the Claims:

Please amend claims 4-9, 12, 15, 17, 19, 21, 23-27, 30-32, 34-35, 39-45, and
48-50 as described below. In addition, attached to the end of this Preliminary
Amendment is a marked-up version of the amended claims, marked to show all
of the changes relative to the previous version.

1. An insecticidal protein comprising the sequence:
 X_1X_2 ICTPAGVKCPAALPCCPGLRCIGGVNNKVCR (SEQ ID NO: 1) wherein X_1 and X_2 are any amino acid.
2. An insecticidal protein according to claim 1 wherein X_1 and X_2 are selected from the group consisting of: Glycine; Lysine; Serine; Tyrosine; Alanine; Methionine; Threonine, Glutamic acid; Aspartic acid; Asparagine and Valine.
3. An insecticidal protein according to claim 2 comprising the sequence: GKICTPAGVKCPAALPCCPGLRCIGGVNNKVCR (SEQ ID NO: 2).
4. An insecticidal protein having at least 55% identity to a protein according to claim 1.
5. An insecticidal protein having at least 70% identity to a protein according to claim 1.
6. An insecticidal protein according to claim 1 wherein the amino acid at position X_1 is modified.
7. An insecticidal protein according to claim 6 wherein the amino acid at position X_1 is acetylated.
8. An insecticidal protein according to claim 6 wherein the amino acid at position X_1 is at the N-terminus.
9. A polynucleotide encoding a protein according to claim 1.
10. A polynucleotide sequence which is the complement of one which hybridises to a polynucleotide according to claim 9 at a temperature of about 65°C in a solution containing 6 x SSC, 0.01% SDS and 0.25% skimmed milk powder, followed by rinsing at the same temperature in a solution containing 0.2 x SSC and 0.1% SDS wherein said polynucleotide sequence still encodes an insecticidal protein.
11. A polynucleotide sequence according to claim 10 comprising the sequence depicted as SEQ ID NOS: 4 to 14.
12. An insecticidal synergistic combination comprising a first protein according to claim 1 and at least one further protein.

13. A combination according to claim 12 wherein said further protein is an insecticidal CRY protein.

14. A combination according to claim 13 wherein the said further protein comprises a sequence selected from the group consisting of SEQ ID NOS: 54 to 59.

15. A polynucleotide which comprises regions encoding the first and further protein according to claim 12.

16. A polynucleotide according to claim 15 wherein the region encoding said first protein comprises a sequence selected from the group depicted as SEQ ID NOS: 4 to 14.

17. A method of evolving a polynucleotide which encodes a protein having insecticidal properties comprising:

(a) providing a population of variants of said polynucleotide and further polynucleotides which encode further proteins, where at least one of said polynucleotides is in cell free form; and

(b) shuffling said variants and further polynucleotides to form recombinant polynucleotides; and

(c) selecting or screening for recombinant polynucleotides which have evolved towards encoding a protein having the said insecticidal properties; and

(d) repeating steps (b) and (c) with the recombinant polynucleotides according to step (c) until an evolved polynucleotide which encodes a protein having insecticidal properties has been acquired wherein said population of variants in part (a) contains at least a polynucleotide according to claim 9.

18. A method according to claim 17 wherein said population of variants in part (a) contains at least a polynucleotide encoding the protein depicted as SEQ ID NO: 1 to 3 and/or said further polynucleotides in part (a) encode a CRY protein.

19. A polynucleotide obtainable or obtained by the method according to claim 17.

20. A protein encoded by a polynucleotide according to claim 19.

21. A DNA construct comprising in sequence a plant operable promoter operably linked to a polynucleotide according to claim 9.
22. A DNA construct according to claim 21 which further comprises a region which provides for the targeting of the protein product to a particular location.
23. A DNA construct according to claim 21 which further comprises a region which provides for the production of a protein which acts as a selectable marker.
24. A DNA construct according to claim 21 wherein the plant operable promoter is selected from the group consisting of *Agrobacterium rhizogenes* RolD; potato protease inhibitor II; CaMV35S; FMV35S; NOS; OCS; Patatin; E9; alcA/alcR switch; GST switch; RMS switch; oleosin; ribulose biphosphate carboxylase-oxygenase small sub-unit promoter and other root specific promoters including MR7 promoter (maize); Gos 9 (rice) and GOS2 promoters.
25. A method of providing a plant or plant part with an insecticidal protein or an insecticidal synergistic combination comprising:
 - (a) inserting into the genome of plant material a polynucleotide according to claim 9; or
 - (b) inserting into the genome of plant material which is capable of producing a further protein, a polynucleotide according to claim 9; or
 - (c) inserting into the genome of plant material which is capable of producing a protein according to claim 1; and
 - (d) regenerating plants or plant parts from said material; and
 - (e) selecting the plants or plant parts having said protein or combination.
26. A method of providing a plant with a combination according to claim 12 comprising crossing a first plant which is capable of providing a first protein according to claim 1 with a second plant which is capable of producing a further protein and selecting the resultant plant which is capable of producing said combination.

27. Plants or plant parts obtained according to the method of claim 25.
28. Plants or plant parts according to claim 27 wherein said protein or the first protein of said combination is post transitionally modified.
29. Plants or plants parts according to claim 28 wherein said protein or the first protein of said combination is acetylated.
30. Plants or plant parts according to claim 28 wherein said protein or the first protein is modified/acetylated at the N-terminus.
31. Plants or plant parts according to claim 27 selected from the group consisting of melons, mangoes, soybean, cotton, tobacco, sugarbeet, oilseed rape, canola, flax, sunflower, potato, tomato, alfalfa, lettuce, maize, wheat, sorghum, rye, bananas, barley, oat, turf grass, forage grass, sugar cane, pea, field bean, rice, pine, poplar, apple, peaches, grape, strawberries, carrot, lettuce, cabbage, onion, citrus, cereal, nut plants or other horticultural crops.
32. A method of providing a plant or plant part with a further desired agronomic trait comprising:
 - (a) inserting into the genome of plant material a polynucleotide which provides for the desired agronomic trait; and
 - (b) regenerating plants or plant parts from said material; and
 - (c) selecting the plants or plant parts having said desired agronomic trait wherein said plant material is capable of producing an insecticidal protein according to claim 1.
33. A method according to claim 32 wherein the further desired agronomic trait is selected from the group consisting of: herbicide resistance; insect resistance; nematode resistance; stress tolerance; altered yield; altered nutritional value or any other desirable agronomic trait.
34. Plants or plant parts obtained according to the method of claim 32.
35. An insecticidal protein comprising the sequence depicted as: $-X_1-X_2-X_3-Cys_4-X_5-X_6-X_7-X_8-X_9-X_{10}-Cys_{11}-X_{12}-X_{13}-X_{14}-X_{15}-X_{16}-Cys_{17}-Cys_{18}-X_{19}-X_{20}-X_{21}-X_{22}-Cys_{23}-X_{24}-X_{25}-X_{26}-X_{27}-X_{28}-X_{29}-X_{30}-X_{31}-Cys_{32}-X_{33}-$ (SEQ ID NO: 60) wherein X_{1-3} , X_{5-10} , X_{12-16} , X_{19-22} , X_{24-31} and X_{33} is any amino acid.

36. An insecticidal protein having a FASTA opt score greater than 109 when compared with SEQ ID NO: 1 using FASTA algorithm Version 3.
37. An insecticidal protein obtainable from *Paecilomyces sp.*
38. An insecticidal protein according to claim 37 obtainable from *Paecilomyces farinosus*.
39. A method of controlling insects comprising providing at a locus where the insects feed a protein according to claim 1.
40. Use of a polynucleotide according to claim 9.
41. Use of a protein according to claim 1.
42. Use of a *Paecilomyces Sp.* in the preparation of a pesticide containing as an active ingredient a protein according to claim 1.
43. Use according to claim 42 wherein said *Paecilomyces Sp.* has been modified to allow for increased production of a protein according to claim 1.
44. A recombinant micro-organism which provides for production of a protein according to claim 1.
45. A recombinant baculovirus which comprises a protein according to claim 1.
46. Use of a baculovirus according to claim 45 in a method of controlling insects.
47. An insecticidal protein which is capable of reacting with a monoclonal antibody raised to the protein depicted as SEQ ID NO: 1.
48. A composition comprising an insecticidally effective amount of a protein according to claim 1.
49. A polynucleotide comprising a first region encoding an insecticidal protein according to claim 1.
50. A plant cell comprising a protein according to claim 1.
51. An insecticidal protein comprising the motif depicted as -LPCCPG- (SEQ ID NO: 63).

REMARKS

Upon entry of this Preliminary Amendment, claims 1-51 will be pending. The foregoing amendments were made to eliminate multiple dependency and typographical errors. No new matter has been introduced by this amendment. Early and favorable examination on the merits is respectfully requested.

No fees are believed to be due in connection with this correspondence. However, please charge any payments due or credit any overpayments to our Deposit Account No. 08-0219.

The Examiner is encouraged to telephone the undersigned in order to expedite the prosecution of the instant application.

Respectfully submitted,
HALE AND DORR LLP



Colleen Superko
Reg. No. 39,850

Dated: December 21, 2001

HALE AND DORR LLP
60 State Street
Boston, MA 02109
Tel.: (617) 526-6000
Fax: (617) 526-5000

**MARKED-UP VERSION OF REPLACEMENT PARAGRAPHS IN
SPECIFICATION UNDER 37 C.F.R. §1.121(b)(1)**

1. An insecticidal protein comprising the sequence:
X₁X₂ICTPAGVKCPAALPCCPGLRCIGGVNNKVCR (SEQ ID NO: 1) wherein X₁
and X₂ are any amino acid.
2. An insecticidal protein according to claim 1 wherein X₁ and X₂ are
selected from the group consisting of: Glycine; Lysine; Serine; Tyrosine; Alanine;
Methionine; Threonine, Glutamic acid; Aspartic acid; Asparagine and Valine.
3. An insecticidal protein according to claim 2 comprising the
sequence: GKICTPAGVKCPAALPCCPGLRCIGGVNNKVCR (SEQ ID NO: 2).
4. An insecticidal protein having at least 55% identity to a protein
according to ~~any of claims 1 to 3~~ **claim 1**.
5. An insecticidal protein having at least 70% identity to a protein
according to ~~any of claims 1 to 3~~ **claim 1**.
6. An insecticidal protein according to ~~any of claims 1 to 5~~ **claim 1**
wherein the amino acid at position X₁ is modified.
7. An insecticidal protein according to claim 6 wherein the amino acid
at position X₁ is acetylated.
8. An insecticidal protein according to claim 6 ~~to 7~~ wherein the amino
acid at position X₁ is at the N-terminus.
9. A polynucleotide encoding a protein according to ~~any one of claims~~
~~1 to 5~~ **claim 1**.
10. A polynucleotide sequence which is the complement of one which
hybridises to a polynucleotide according to claim 9 at a temperature of about
65°C in a solution containing 6 x SSC, 0.01% SDS and 0.25% skimmed milk
powder, followed by rinsing at the same temperature in a solution containing 0.2
x SSC and 0.1% SDS wherein said polynucleotide sequence still encodes an
insecticidal protein.

11. A polynucleotide sequence according to claim 10 comprising the sequence depicted as SEQ ID NOS: 4 to 14.
12. An insecticidal synergistic combination comprising a first protein according to ~~any one of claims 1 to 8~~ **claim 1** and at least one further protein.
13. A combination according to claim 12 wherein said further protein is an insecticidal CRY protein.
14. A combination according to claim 13 wherein the said further protein comprises a sequence selected from the group consisting of SEQ ID NOS: 54 to 59.
15. A polynucleotide which comprises regions encoding the first and further protein according to ~~any one of claims 12 to 14~~ **claim 12**.
16. A polynucleotide according to claim 15 wherein the region encoding said first protein comprises a sequence selected from the group depicted as SEQ ID NOS: 4 to 14.
17. A method of evolving a polynucleotide which encodes a protein having insecticidal properties comprising:
 - (a) providing a population of variants of said polynucleotide and further polynucleotides which encode further proteins, where at least one of said polynucleotides is in cell free form; and
 - (b) shuffling said variants and further polynucleotides to form recombinant polynucleotides; and
 - (c) selecting or screening for recombinant polynucleotides which have evolved towards encoding a protein having the said insecticidal properties; and
 - (d) repeating steps (b) and (c) with the recombinant polynucleotides according to step (c) until an evolved polynucleotide which encodes a protein having insecticidal properties has been acquired wherein said population of variants in part (a) contains at least a polynucleotide according to ~~any one of claims 9 to 11, 15 or 16~~ **claim 9**.
18. A method according to claim 17 wherein said population of variants in part (a) contains at least a polynucleotide encoding the protein

depicted as SEQ ID NO: 1 to 3 and/or said further polynucleotides in part (a) encode a CRY protein.

19. A polynucleotide obtainable or obtained by the method according to claim 17 ~~or 18~~.

20. A protein encoded by a polynucleotide according to claim 19.

21. A DNA construct comprising in sequence a plant operable promoter operably linked to a polynucleotide according to ~~any one of claims 9 to 11, 15, 16 or 19 or a polynucleotide encoding a protein according to claim 20~~ operably linked to a transcription termination region. claim 9.

22. A DNA construct according to claim 21 which further comprises a region which provides for the targeting of the protein product to a particular location.

23. A DNA construct according to claim 21 ~~or 22~~ which further comprises a region which provides for the production of a protein which acts as a selectable marker.

24. A DNA construct according to ~~any one of claims 21 to 23~~ claim 21 wherein the plant operable promoter is selected from the group consisting of *Agrobacterium rhizogenes* Rold; potato protease inhibitor II; CaMV35S; FMV35S; NOS; OCS; Patatin; E9; alcA/alcR switch; GST switch; RMS switch; oleosin; ribulose biphosphate carboxylase-oxygenase small sub-unit promoter and other root specific promoters including MR7 promoter (maize); Gos 9 (rice) and GOS2 promoters.

25. A method of providing a plant or plant part with an insecticidal protein or an insecticidal synergistic combination comprising:

(a) inserting into the genome of plant material a polynucleotide according to ~~any one of claims 9 to 11, 15, 16 or 19 or a polynucleotide encoding a protein according to claim 20 or a DNA construct according to any one of claims 21 to 24; or~~ claim 9; or

wheat, sorghum, rye, bananas, barley, oat, turf grass, forage grass, sugar cane, pea, field bean, rice, pine, poplar, apple, peaches, grape, strawberries, carrot, lettuce, cabbage, onion, citrus, cereal, nut plants or other horticultural crops.

32. A method of providing a plant or plant part with a further desired agronomic trait comprising:

- (a) inserting into the genome of plant material a polynucleotide which provides for the desired agronomic trait; and
- (b) regenerating plants or plant parts from said material; and
- (c) selecting the plants or plant parts having said desired agronomic trait wherein said plant material is capable of producing an insecticidal protein according to ~~any one of claims 1 to 8 or a combination according to claims 12 to 14; or crossing a first plant according to any one of claims 27 to 31 with a second plant which provides for said further desired agronomic trait and selecting the resultant plant which is capable of producing the further agronomic trait.~~ claim 1.

33. A method according to claim 32 wherein the further desired agronomic trait is selected from the group consisting of: herbicide resistance; insect resistance; nematode resistance; stress tolerance; altered yield; altered nutritional value or any other desirable agronomic trait.

34. Plants or plant parts obtained according to the method of claims claim 32 or 33.

35. An insecticidal protein comprising the sequence depicted as: $-X_1-X_2-X_3-Cys_4-X_5-X_6-X_7-X_8-X_9-X_{10}-Cys_{11}-X_{12}-X_{13}-X_{14}-X_{15}-X_{16}-Cys_{17}-Cys_{18}-X_{19}-X_{20}-X_{21}-X_{22}-Cys_{23}-X_{24}-X_{25}-X_{26}-X_{27}-X_{28}-X_{29}-X_{30}-X_{31}-Cys_{32}-X_{33}-$ (SEQ ID NO: 60) wherein X_{1-3} , ~~5- X_{5-10} , 12- X_{12-16} , 19- X_{19-22} , 24- X_{24-31}~~ and ~~33~~ X_{33} is any amino acid.

36. An insecticidal protein having a FASTA opt score greater than 109 when compared with SEQ ID NO: 1 using FASTA algorithm Version 3.

37. An insecticidal protein obtainable from *Paecilomyces sp.*

38. An insecticidal protein according to claim 37 obtainable from *Paecilomyces farinosus*.

WO 01/00841

5/17

PCT/GB00/02457

INSECTICIDAL PROTEINS FROM PAECILOMYCES AND SYNERGISTIC COMBINATIONS THEREOF

The present invention relates to *inter alia*, insecticidal proteins and synergistic combinations thereof, DNA sequences encoding the proteins and methods of producing plants comprising said proteins and combinations. In particular the invention relates to insecticidal peptides obtainable from the fungi *Paecilomyces spp.*

Many fungi are pathogenic to insects, it is known that *Paecilomyces fumosoroseus* can be used as a biological control agent and this strain is sold commercially as a bio-control agent for use in greenhouses. *Hitherto* however, there has been no isolation and identification of insecticidal peptides from *Paecilomyces spp.*

It has been unexpectedly found that insecticidal peptides extracted from of *Paecilomyces spp.*, such as *Paecilomyces farinosus* provide a new type of potent orally active insecticidal peptide. Surprisingly, these proteins are also capable of acting synergistically with further proteins in particular CRY and VIP proteins.

According to the present invention there is provided an insecticidal protein comprising the sequence: X_1X_2 ICTPAGVKCPAALPCCPGLRCIGGVNNKVCR (SEQ ID No. 1) wherein X_1 and X_2 are any amino acid. In a further embodiment of the present invention the amino acids at positions X_1 and X_2 are selected from the group consisting of: Glycine; Lysine; Serine; Tyrosine; Alanine; Methionine; Threonine; Glutamic acid; Aspartic acid; Asparagine and Valine. In a still further embodiment of the present invention the amino acids at positions X_1 and X_2 are Serine and Tyrosine respectively. In a still further embodiment of the present invention the amino acid at position X_1 is Glutamine. In a still further embodiment of the present invention the insecticidal protein comprises the sequence: GKICTPAGVKCPAALPCCPGLRCIGGVNNKVCR (SEQ ID No. 2). The present invention still further provides an insecticidal protein comprising the sequence: X_1X_2 GKICTPAGVKCPAALPCCPGLRCIGGVNNKVCR (SEQ ID No. 3) wherein X_1 and X_2 are any amino acid, preferably an amino acid selected from the group consisting of: Glycine; Lysine; Serine; Tyrosine; Alanine; Methionine; Threonine; Glutamic acid; Aspartic acid; Asparagine and Valine, more preferably X_1 and X_2 are Serine and Tyrosine respectively.

The present invention further provides an insecticidal protein having at least 55% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a further embodiment of

the present invention the insecticidal protein has at least 60% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 65% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 70% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 75% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 80% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 85% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 90% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 91% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 92% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 93% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 94% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 95% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 96% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 97% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 98% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. In a still further embodiment of the present invention the insecticidal protein has at least 99% identity to any of the proteins depicted as SEQ ID Nos. 1 to 3. Preferably, the insecticidal protein according to the present invention comprises a motif depicted as -LPCCPG- and or -ICTPA- (SEQ ID Nos. 64 and 65 respectively). The percentage of sequence identity for proteins is determined by comparing two optimally aligned sequences over a comparison window, wherein the portion of the amino acid sequence in the comparison window may comprise additions or deletions

(i.e. gaps) as compared to the initial reference sequence (which does not comprise additions or deletions) for optimal alignment of the two sequences. The percentage is calculated by determining the number of positions at which the identical amino acid residue occurs in both sequences to yield the number of match positions, dividing the number of match positions by the total number of positions in the window of comparison and multiplying the result by 100 to yield the percentage of sequence identity. When calculating the percentage sequence identity the sequences may be aligned allowing for up to 3 gaps with the *proviso* that in respect of the gaps, a total of not more than 15 amino acid residues is affected. Optimal alignment of sequences for comparison may also be conducted by computerised implementations of known algorithms. In a particular embodiment of the present invention the sequence identity is calculated using the FASTA version 3 algorithm which uses the method of Pearson and Lipman (Lipman, D.J. and Pearson, W.R. (1985) Rapid and sensitive protein similarity searches and Science. 227:1435-1441 and Pearson, W.R. and Lipman, D.J. (1988) Improved tools for biological sequence comparison. PNAS. 85:2444-2448) to search for similarities between the reference sequence (also termed the query sequence) and any group of sequences (termed further sequences). Methods also exist in the art which enable the percentage sequence identity between polynucleotide sequences to be calculated.

The protein may differ from the basic insecticidal protein sequence (such as SEQ ID No. 1) by conservative or non-conservative amino acid substitutions. A conservative substitution is to be understood to mean that the amino acid is replaced with an amino acid with broadly similar chemical properties. In particular conservative substitutions may be made between amino acids within the following groups:

- (i) Alanine and Glycine;
- (ii) Serine and Threonine;
- (ii) Glutamic acid and Aspartic acid;
- (iii) Arginine and Lysine;
- (iv) Asparagine and Glutamine;
- (v) Isoleucine and Leucine,
- (vi) Valine and Methionine;
- (vii) Phenylalanine and Tryptophan.

In general, more conservative than non-conservative substitutions will be possible without destroying the insecticidal properties of the proteins. Suitable variant proteins in

accordance with the present invention may be determined by testing insecticidal properties of the protein using routine methods which are well known to the person skilled in the art. Such variant proteins may also be synthesised chemically using standard techniques.

The present invention still further provides an insecticidal protein as described above
5 wherein the amino acid at position X_1 is modified. In a further embodiment of the present invention the amino acid at position X_1 is acetylated. In a still further embodiment of the present invention the amino acid at position X_1 is at the N-terminus. In a still further embodiment of the present invention the N-terminal region of the insecticidal protein comprises the sequence $X_1X_2\text{ICT-}$ where X_1 and X_2 are any amino acid.

10 The present invention still further provides a polynucleotide encoding an insecticidal protein in its unmodified form.

The present invention still further provides a polynucleotide sequence which is the complement of one which hybridises to a polynucleotide as described above at a temperature of about 65°C in a solution containing 6 x SSC, 0.01% SDS and 0.25% skimmed milk
15 powder, followed by rinsing at the same temperature in a solution containing 0.2 x SSC and 0.1% SDS wherein said polynucleotide sequence still encodes an insecticidal protein. In a further embodiment of the present invention the polynucleotide sequence comprises the sequence depicted as SEQ ID Nos. 4 to 14.

Further polynucleotide sequences according to the present invention may be
20 identified from fungal DNA libraries. Suitable oligonucleotide probes may be constructed on the basis of the amino acid sequences of the proteins according to the present invention and used to screen any such DNA library for the identification of further polynucleotides encoding proteins according to the invention. In a still further embodiment of the present invention the amino acid sequences depicted as SEQ ID Nos. 1 to 3 may be used for the
25 construction of oligonucleotide probes by the skilled man. In a still further embodiment of the present invention the sequences depicted as SEQ ID Nos. 4 to 14 may be used for the construction of oligonucleotide probes. In a still further embodiment of the present invention the DNA library is a *Paecilomyces spp.* DNA library. The person skilled in the art is well versed in methods for the production and screening of DNA libraries and the necessary
30 techniques for the subsequent identification, isolation and sequence determination of polynucleotides which encode further insecticidal proteins in accordance with the present invention. The person skilled in the art will appreciate that alternative methods exist for the

identification and characterisation of related insecticidal sequences from other sources preferably other members of the *Paecilomyces* genus. Such methods include PCR strategies based on oligonucleotide primers using the sequence information provided herein or from sequences obtainable by the methods described above.

5 In a further aspect of the present invention there is provided an insecticidal synergistic combination comprising a first protein which is a protein as described above and at least one further protein. In a further embodiment of the present invention the further protein is an insecticidal CRY protein. The term "CRY protein" includes crystal endotoxin proteins (and secreted CRY) and the vegetative insecticidal proteins (and secreted VIP) which are active
10 against insects including *Lepidoptera*, *Coleoptera* and *Diptera*. Such proteins are available *inter alia*, from the bacterium *Bacillus thuringiensis* and are well known to the person skilled in the art. Particularly preferred CRY proteins which may be used in accordance with the present invention include those proteins obtainable from *Bacillus thuringiensis* variety *tenebrionis* which has been deposited under the German Collection of micro-organisms
15 (Deutsche Sammlung von Microorganism) under reference DSM 2803 or strains JHCC 4835 and JHCC 4353 deposited under the National Collections of Industrial and Marine Bacteria (Aberdeen) under the accession numbers NCIMB 40091 and 40090, respectively. In a still further embodiment of the present invention said further protein comprises a sequence selected from the group consisting of SEQ ID Nos. 54 to 59.

20 The present invention still further provides a polynucleotide which comprises regions encoding the first and further protein as described above. In a further embodiment of the present invention the polynucleotide comprises a region encoding a first protein which comprises the sequence depicted as SEQ ID No. 2. In a still further embodiment of the present invention the polynucleotide comprises a region comprising a sequence selected from
25 the group depicted as SEQ ID Nos. 4 to 14. The insecticidal proteins or protein combinations according to the invention may be prepared in a number of ways which are apparent to the person skilled in the art. For example, by chemical synthesis using a standard peptide synthesiser, or using recombinant DNA technology to express the protein/combination in suitable organisms such as plants and micro-organisms such as *E. coli*, *Saccharomyces cerevisiae* or *Pichia pastoris*.
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In a further aspect of the present invention there is provided a method of evolving a polynucleotide which encodes a protein having insecticidal properties comprising: (a)

providing a population of variants of said polynucleotide and further polynucleotides which encode further proteins, at least one of which is in cell free form; and (b) shuffling said variants and further polynucleotides to form recombinant polynucleotides; and (c) selecting or screening for recombinant polynucleotides which have evolved towards encoding a protein
5 having the said insecticidal properties; and (d) repeating steps (b) and (c) with the recombinant polynucleotides according to step (c) until an evolved polynucleotide which encodes a protein having insecticidal properties has been acquired wherein said population of variants in part (a) contains at least a polynucleotide encoding a protein as described above. In a further embodiment of the present invention the evolved polynucleotide encodes an
10 insecticidal protein having favourable properties for use in an applied context. For example enhanced activity or efficacy in a particular crop plant.

The present invention still further provides a method as described above wherein said population of variants in part (a) contains at least a polynucleotide encoding the protein depicted as SEQ ID Nos. 1 to 3 and said further polynucleotides in part (a) encode a CRY
15 protein. The methods for evolving a polynucleotide as described above are well known to the person skilled in the art and are described *inter alia*, in US Patent No. 5,811,238.

The present invention still further provides a polynucleotide obtainable or obtained by the methods described above and a protein encoded by any such polynucleotide.

The present invention still further provides a DNA construct comprising in sequence
20 a plant operable promoter operably linked to a polynucleotide encoding a protein as described above operably linked to a transcription termination region. In a further embodiment of the present invention the DNA construct further comprises a region or a plurality of regions which provide for the targeting of the protein product or products to a particular location or locations. For example, if it is desired to provide the protein outside of
25 the cell then an extracellular target sequence may be ligated to the polynucleotide encoding the protein of the present invention. Other examples of targeting include targeting to a specific intracellular organelle or compartment such as a chloroplast, any other plastid, endoplasmic reticulum, peroxisome, the oil body, mitochondrion or vacuole. Numerous protein targeting sequences are available to the person skilled in the art and any of these
30 sequences may be used to provide either (i) the protein according to the present invention *per se* and/or (ii) the further protein to, preferably, substantially the same location. In a still further embodiment of the present invention the target sequence comprises a sequence

selected from the group depicted as SEQ ID Nos. 15 to 19 or a polynucleotide encoding a protein selected from the group depicted as SEQ ID Nos. 20 to 24. The targeting polynucleotide sequence may be located 5' and/or 3' of the polynucleotide encoding the protein or combination according to the present invention.

5 The present invention still further provides a DNA construct as described above which further comprises a region which provides for the production of a protein which acts as a selectable marker. The selectable marker may, in particular, confer resistance to kanamycin; hygromycin or gentamycin. Further suitable selectable markers include genes which confer resistance to herbicides such as glyphosate based herbicides or resistance to
10 toxins such as eutypine. Other forms of selection are also available such as hormone based selection systems such as the Multi Auto Transformation (MAT) system of Hiroyasu Ebinuma *et al.* 1997. PNAS Vol. 94 pp2117-2121; visual selection systems which use the known green fluorescence protein, β glucuronidase and any other selection system such as mannose isomerase, xylose isomerase and 2-deoxyglucose (2-DOG).

15 The present invention still further provides a DNA construct as described above wherein the plant operable promoter is selected from the group consisting of *Agrobacterium rhizogenes* Rold; potato protease inhibitor II; CaMV35S; FMV35S; NOS; OCS; Patatin; E9; alcA/alcR switch; GST switch; RMS switch; oleosin; ribulose biphosphate carboxylase-oxygenase small sub-unit promoter and other root specific promoters including MR7
20 promoter (maize); Gos 9 (rice) and GOS2 promoters. Terminators which can be used in the constructs according to the present invention include Nos, proteinase inhibitor II and the terminator of a gene of alpha-tubulin (EP-A 652,286). It is equally possible to use, in association with the promoter regulation sequence, other regulation sequences which are situated between the promoter and the sequence encoding the protein according to the present
25 invention, such as transcriptional or translational enhancers, for example, tobacco etch virus (TEV) translation activator described in International Patent application, PCT publication number WO87/07644. The polynucleotide encoding the insecticidal protein or combination according to the invention may also be codon-optimised, or otherwise altered to enhance for example, transcription once it is incorporated into plant material. Examples of preferred
30 codon usage from cotton and maize plants is set out in Table 1 below.

Table 1

| Amino Acid | Cotton preference | Maize preference |
|---------------|-------------------|------------------|
| Alanine | GCT | GCC |
| Arginine | AGG | AGG |
| Asparagine | AAC | ACC |
| Aspartic Acid | GAT | GAC |
| Cysteine | TGC | TGC |
| Glutamine | CAA | CAG |
| Glutamic Acid | GAG | GAG |
| Glycine | GGT | GGC |
| Histidine | CAT | CAC |
| Isoleucine | ATT | ATC |
| Leucine | CTT | CTG |
| Lysine | AAG | AAG |
| Methionine | ATG | ATG |
| Phenylalanine | TTC | TTC |
| Proline | CCT | CCG |
| Serine | TCT | AGC |
| Threonine | ACT | ACC |
| Tryptophan | TGG | TGG |
| Tyrosine | TAC | TAC |
| Valine | GTT | GTG |

Such codon optimisation may also be used to alter the predicted secondary structure of the RNA transcript produced in any transformed cell, or to destroy cryptic RNA instability elements present in the unaltered transcript, thereby increasing the stability and/or availability of the transcript in the transformed cell (Abler and Green. 1996. Plant Molecular Biology (32) pp63-78). The expression of the protein and/or combination according to the present invention may also be enhanced through the inclusion of one or more intronic sequences within the polynucleotide encoding said protein and/or combination. (Rose and Beliakoff, 2000. Plant Physiology (122) pp.535-542). Examples of such sequences are the second

intron of the *Solanum tuberosum* LS1 gene and the alcohol dehydrogenase I gene (*adh1*)
intron of monocotyledonous plant species. The chloroplast expression method (McBride et
al. 1995. Biotechnology (13) pp362-365) may also be used to achieve enhanced expression of
the protein and/or combination according to the present invention. This method is well
5 known to the person skilled in the art and basically comprises transformation of the
chloroplast genome with a polynucleotide under the control of a functional chloroplast-
activated promoter or promoter/enhancer combination.

In a further aspect of the present invention there is provided a method of providing a
plant or plant part with an insecticidal protein or an insecticidal protein synergistic
10 combination comprising: (a) inserting into the genome of plant material a polynucleotide
which encodes a protein as described above or a polynucleotide which comprises regions
encoding the first and further protein as described above or a DNA construct as described
above; or (a) inserting into the genome of plant material which is capable of producing a
further protein, a polynucleotide encoding a first protein as described above; or (a) inserting
15 into the genome of plant material which is capable of producing a first protein as described
above, a polynucleotide which provides for a further protein; and (b) regenerating plants or
plant parts from said material; and (c) selecting the plants or plant parts having said protein
or combination. The polynucleotide/DNA construct may be incorporated into the cells by
plant transformation techniques which are well known to the person skilled in the art. Such
20 techniques include but are not limited to particle mediated biolistic transformation,
Agrobacterium-mediated transformation, protoplast transformation (optionally in the
presence of polyethylene glycols); sonication of plant tissues, cells or protoplasts in a
medium comprising the polynucleotide or vector; micro-insertion of the polynucleotide or
vector into totipotent plant material (optionally employing the known silicon carbide
25 "whiskers" technique), electroporation and the like.

The present invention still further provides a method of providing a plant with an
insecticidal protein synergistic combination comprising crossing a first plant which is
capable of providing a first protein as described above with a second plant which is capable
of producing a further protein and selecting the resultant plant which is capable of producing
30 said combination.

The present invention still further provides plants or plant parts obtained according to
the method as described above.

The present invention still further provides plants or plant parts as described above wherein said protein or the first protein of said combination is post translationally modified. In a further embodiment of the present invention said protein or the first protein of said combination is acetylated. In a still further embodiment of the present invention said protein or the first protein is modified/acetylated at the N-terminus. In a still further embodiment of the present invention the N-terminal region of the insecticidal protein/first protein comprises the sequence X_1X_2ICT - where X_1 and X_2 are any amino acid. In a further embodiment of the present invention X_1 and X_2 are selected from the group consisting of: Glycine; Lysine; Serine; Tyrosine; Alanine; Methionine; Threonine; Glutamic acid; Aspartic acid; Asparagine and Valine. In a still further embodiment of the present invention the amino acids at positions X_1 and X_2 are Serine and Tyrosine respectively. In a still further embodiment of the present invention the amino acid at position X_1 is Glutamine.

The present invention still further provides plants or plant parts as described above selected from the group consisting of melons, mangoes, soybean, cotton, tobacco, sugarbeet, oilseed rape, canola, flax, sunflower, potato, tomato, alfalfa, lettuce, maize, wheat, sorghum, rye, bananas, barley, oat, turf grass, forage grass, sugar cane, pea, field bean, rice, pine, poplar, apple, peaches, grape, strawberries, carrot, lettuce, cabbage, onion, citrus, cereal, nut plants or other horticultural crops. Plants and plant parts in accordance with the present invention show improved resistance or enhanced tolerance to an insect pest when compared to control-like or wild-type plants. Resistance may vary from a slight increase in tolerance to the pest to total resistance so that the plant is unaffected by the presence of pest (where the pest is severely inhibited or killed).

The present invention still further provides a method of providing a plant or plant part with a further desired agronomic trait comprising: (a) inserting into the genome of plant material a polynucleotide which provides for the desired agronomic trait; and (b) regenerating plants or plant parts from said material; and (c) selecting the plants or plant parts having said desired agronomic trait wherein said plant material is capable of producing an insecticidal protein or an insecticidal protein combination as described above; or crossing a first plant which plant is capable of producing an insecticidal protein or an insecticidal protein combination as described above with a second plant which provides for said further desired agronomic trait and selecting the resultant plant which is capable of producing the further agronomic trait. In a further embodiment of the present invention the said further

desired agronomic trait is selected from the group consisting of: herbicide resistance; insect resistance; nematode resistance; stress tolerance; altered yield; altered nutritional value or any other desirable agronomic trait. In a further embodiment of the present invention the further agronomic trait provides resistance to a herbicide which comprises glyphosate acid or agriculturally acceptable salt thereof.

The present invention still further provides plants or plant parts obtained according to the method of the preceding paragraph.

In a further aspect of the present invention there is provided an insecticidal protein comprising the sequence depicted as $-X_1-X_2-X_3-Cys_4-X_5-X_6-X_7-X_8-X_9-X_{10}-Cys_{11}-X_{12}-X_{13}-X_{14}-X_{15}-X_{16}-Cys_{17}-Cys_{18}-X_{19}-X_{20}-X_{21}-X_{22}-Cys_{23}-X_{24}-X_{25}-X_{26}-X_{27}-X_{28}-X_{29}-X_{30}-X_{31}-Cys_{32}-X_{33}-$ (SEQ ID No. 60) wherein X_{1-3} , $5-10$, $12-16$, $19-22$, $24-31$ and 33 are any amino acid. In a further embodiment of the present invention the insecticidal protein comprises the sequence depicted as SEQ ID No. 60 and where X is any amino acid with the *proviso* that the amino acids at positions 14 and 15 are not cysteine. In a still further embodiment of the present invention the insecticidal protein comprises the sequence depicted as SEQ ID No. 60 where X is any amino acid other than cysteine. In the present case, the insecticidal peptides depicted as *inter alia*, SEQ ID Nos. 1 to 3 and 50 and the proteins encoded by SEQ ID Nos. 4 to 14 contain six cysteine residues all of which are believed to be involved in forming 3 intramolecular disulphide bonds. Thus the arrangement of the cysteine residues may be important in conferring insecticidal activity on the peptide. In a further embodiment of the present invention the amino acid at position X_1 is post translationally modified. In a still further embodiment of the present invention said amino acid at position X_1 is acetylated. In a still further embodiment of the present invention said amino acid at position X_1 is the N-terminus. In a still further embodiment of the present invention the N-terminal region of the insecticidal protein comprises the sequence X_1X_2ICT- where X_1 and X_2 are any amino acid. In a still further embodiment of the present invention X_1 and X_2 are selected from the group consisting of: Glycine; Lysine; Serine; Tyrosine; Alanine; Methionine; Threonine; Glutamic acid; Aspartic acid; Asparagine and Valine. In a still further embodiment of the present invention the amino acid at position X_1 is Glycine. In a still further embodiment of the present invention the amino acids at positions X_1 and X_2 are Serine and Tyrosine respectively. In a still further embodiment of the present invention the amino acid at position X_1 is Glutamine.

The present invention still further provides an insecticidal protein having a FASTA opt score greater than 109 when compared with SEQ ID No. 1 using FASTA Version 3. In a further embodiment of the present invention the insecticidal protein has a FASTA opt score greater than 110 when compared with SEQ ID No. 1 using FASTA Version 3. In a still further embodiment of the present invention the insecticidal protein has a FASTA opt score greater than 115 when compared with SEQ ID No. 1 using FASTA Version 3. In a still further embodiment of the present invention the insecticidal protein has a FASTA opt score greater than 117 when compared with SEQ ID No. 1 using FASTA Version 3. In a still further embodiment of the present invention the insecticidal protein has a FASTA opt score greater than 119 when compared with SEQ ID No. 1 using FASTA Version 3. In a still further embodiment of the present invention the insecticidal protein has a FASTA opt score greater than 120 when compared with SEQ ID No. 1 using FASTA Version 3. In a still further embodiment of the present invention the insecticidal protein has a FASTA opt score greater than 130 when compared with SEQ ID No. 1 using FASTA Version 3. In a still further embodiment of the present invention the insecticidal protein has a FASTA opt score greater than 140 when compared with SEQ ID No. 1 using FASTA Version 3. In a still further embodiment of the present invention the insecticidal protein has a FASTA opt score greater than 150 when compared with SEQ ID No. 1 using FASTA Version 3. The FASTA opt score may be calculated using the FASTA algorithm as described above. After computing the initial scores, FASTA determines the best segment of similarity between the query sequence and the further sequences using a the Smith-Waterman algorithm (Smith, T.F. and Waterman, M.S. (1981) Comparison of biosequences. Adv. Appl. Math. 2:482-489). The output is presented in the form of an opt score and this procedure is well known to the person skilled in the art.

The present invention still further provides an insecticidal protein obtainable or obtained from *Paecilomyces sp.* In a further embodiment of the present invention the insecticidal protein is obtained from *Paecilomyces farinosus*.

The present invention still further provides a method of controlling insects comprising providing at a locus where the insects feed, a protein or a protein combination as described above.

The present invention still further provides the use of a polynucleotide encoding an insecticidal protein as described above or a DNA construct as described above in a method

The present invention still further provides a recombinant baculovirus which comprises a protein or a protein combination as described above. The present invention still

further provides the use of a baculovirus according to the preceding sentence in a method of controlling insects.

According to a further aspect of the present invention there is provided an insecticidal protein which is capable of reacting with a monoclonal antibody raised to the protein selected from the group depicted as SEQ ID No. 1 to 3. The present invention still further provides an insecticidal protein which is capable of reacting with a polyclonal antibody raised to the protein selected from the group depicted as SEQ ID No. 1 to 3. Such antibodies may be generated and used to identify other proteins within the ambit of the present invention according to well known techniques within the art.

The present invention still further provides a composition comprising an insecticidally effective amount of a protein or a protein combination as described above and optionally an agriculturally acceptable carrier and/or a diluent and/or an insect attractant. The composition may be applied to the insects or to the environment in which they live, in particular, to plant parts or the surrounding soil, using standard agricultural techniques for example spraying. The insecticidal proteins and combinations according to the present invention may also be combined in application with other agrochemicals such as herbicides, fungicides and other insecticidal compounds including other insecticidal proteins. Examples of possible mixture partners include insecticidal lectins, insecticidal protease inhibitors and insecticidal proteins derived from species of the *Bacillus thuringiensis*, *Xenorhabdus nematophilus*, or *Photorhabdus luminescens* and other chemicals for example pyrethroids, carbamates, imidacloprid, organochlorines, macromolecules such as spinosad abamectin or emamectin.

The present invention still further provides a polynucleotide having a first region encoding a protein as described above and a second region encoding a further protein. The regions may be separated by a region which provides for a self processing polypeptide which is capable of separating the proteins such as the self processing polypeptide described in US5,846,767 or any similarly functioning element. Alternatively the regions may be separated by a sequence which acts as a target site for an external element which is capable of separating the protein sequences. Alternatively the polynucleotide may provide for a polyprotein which comprises a plurality of protein functions. In a further embodiment of the present invention the proteins of the polyprotein may be arranged in tandem. In a still further embodiment of the present invention the polyprotein comprises a plurality of protein

functions which are separated by linker sequences. Such polypeptides may comprise the proteins and/or further proteins according to the present invention and optionally further proteins such as those encoding any desired agronomic trait.

The present invention still further provides a plant cell comprising a protein or
5 protein combination as described above or a polynucleotide encoding an insecticidal protein and/or an insecticidal protein combination as described above.

The present invention still further provides an insecticidal protein comprising the motif depicted as -LPCCPG- (SEQ ID No 63) and/or -ICTPA- (SEQ ID No. 64).

The insects to be controlled by the proteins of the present invention include the plant
10 chewing insects and the plant chewing stages of insects such as insect larvae including:
Coleoptera, *Lepidoptera*, *Orthoptera* and *Drosophila*, including, but not limited to:
Acanthoscelides obtectus, *Bruchus* spp., *Callosobruchus* spp. (bruchid beetles), *Agriotes* spp. (wireworms), *Amphimallon* spp. (chafer beetles), *Anthonomus grandis* (cotton boll weevil), *Ceutorhynchus assimilis* (cabbage seed weevil), *Cylas* spp. (sweet potato weevils),
15 *Diabrotica* spp. (corn root worms), *Epicauta* spp. (black blister beetles), *Epilachna* spp. (melon beetles etc.), *Leptinotarsa decemlineata* (Colorado potato beetle) *Meligethes* spp. (blossom beetles), *Melolontha* spp. (cockchafer), *Phyleotreta* spp., *Psylliodes* spp. (flea beetles), *Popillia japonica* (Japanese beetle), *Scolytus* spp. (bark beetles), *Sitophilus* spp. (grain weevils), *Tenebrio molitor* (yellow mealworm), *Tribolium* spp. (flour beetles),
20 *Trogoderma granarium* (Khapra beetle), *Acleris* spp. (fruit tree tortrix), *Acraea acerata* (sweet potato butterfly), *Agrotis* spp. (cutworms), *Autographa gamma* (silver-Y moth), *Chilo* spp. (stalk borers), *Cydia pomonella* (codling moth), *Diparopsis* spp. (red bollworms), *Ephestia* spp. (warehouse moths), *Heliothis* spp., *Helicoverpa* spp. (budworms, bollworms), *Mamestra brassicae* (cabbage moth), *Manduca* spp. (hornworms), *Maruca testulalis* (mung moth), *Mythimna* spp. (cereal armyworms), *Ostrinia nubilalis* (European corn borer),
25 *Pectinophora gossypiella* (pink bollworm), *Phthorimaea operculella* (potato tuber moth), *Pieris brassicae* (large white butterfly), *Pieris rapae* (small white butterfly), *Plodia interpunctella* (Indian grain moth), *Plutella xylostella* (diamond-back moth), *Sitotroga cerealella* (Angoumois grain moth), *Spodoptera* spp. (armyworms), *Trichoplusia ni* (cabbage semilooper), *Acheta* spp. (field crickets), *Gryllotalpa* spp. (mole crickets), *Locusta migratoria* (migratory locust), *Schistocerca gregaria* (desert locust), *Acyrthosiphon pisum* and
30 *Drosophila* sp.

The invention will now be described by way of the following non-limiting examples in combination with the following figures and sequence listing of which:

FIGURE 1 - is a schematic diagram of the organisation of the *Paecilomyces farinosus* gene.

FIGURE 2 - shows illustrates the signal-gene fusion part of a construct suitable for the

5 transformation of corn.

FIGURE 3 - shows a construct suitable for the transformation of corn and illustrates the backbone vector pat UB1 poly2.

FIGURE 4 - Shows genomic map of the gene isolatable from *Paecilomyces farinosus*.

FIGURE 5 - Shows the vector pCR2.1 TOPO.

10 SEQ ID Nos. 1 to 3 - Insecticidal proteins obtainable from *Paecilomyces spp.* Also referred to as "R524445 protein" and "445 protein".

SEQ ID Nos. 4 to 6 - Polynucleotides encoding the insecticidal proteins.

SEQ ID Nos. 7 and 8 - Polynucleotides encoding the insecticidal proteins - codon optimised.

15 For SEQ ID No. 7 - signal peptide is present from position 1 to 72 and the mature protein encoding sequences is from 73 to 174 (including the stop). For SEQ ID No. 8 - signal peptide is present from position 1 to 72 and the mature protein encoding sequences is from 73 to 174 (including the stop).

20 SEQ ID Nos. 9 and 10 - Polynucleotides encoding the insecticidal proteins - containing intron sequences. For SEQ ID No. 9 - signal peptide is present from position 1 to 68 and the intron sequence is from 99 to 288. SEQ ID No. 10 has two additional amino acids Serine and Tyrosine at the N-terminus of the mature protein. Signal peptide is present from position 1 to 72, Ser and Tyr are encoded by nucleotides 73 to 78 and the intron sequence is from 106 to 294.

25 SEQ ID No. 11 - Polynucleotide encoding the insecticidal proteins with two amino acids substituted for Serine and Tyrosine at the N-terminus of the mature protein. Signal peptide is present from position 1 to 72. Ser and Tyr are encoded by nucleotides 73 to 78 and the intron sequence is from nucleotides 100 to 288.

30 SEQ ID No. 12 - Polynucleotide encoding the insecticidal proteins containing intron and codon optimised. Signal peptide is present from position 1 to 78 and the intron sequence is from 105 to 288.

Paecilomyces farinosus was routinely cultured on potato dextrose agar plates. Spores were harvested from the plates by adding sterile water and scraping with a sterile spatula. For production of insecticidal peptide 6×10^7 spores were inoculated into $5 \times 200\text{ml}$ of SDB

medium in 500ml flasks. Cultures were incubated at 24°C with shaking at 180rpm for 7 days before harvest.

Example 2

5 Purification of insecticidal peptide

500ml of 7d culture filtrate was filtered through Whatman™ GF/B paper to remove mycelium and the supernatant diluted 4 fold in 20mM MES pH6. The supernatant was then loaded onto a S-Sepharose FF XK16/10 column (Pharmacia Biotech) previously equilibrated with 20mM MES pH6. Unbound protein was washed through the column with 3 column
10 volumes of 20mM MES pH6 and bound protein was eluted with a linear gradient of 0-1M NaCl in 20mM MES pH6 over 20 column volumes. The eluate was monitored for peptide by online measurement of absorbance at 280 and 210nm. 5ml fractions were collected and following dialysis against 50mM Sodium Phosphate buffer pH7 assayed against *Heliothis virescens*.

15 Active fractions eluted around 250mM NaCl. These fractions were pooled and following concentration on Polyethylene glycol Mwt 20,000, were further purified by reverse phase. 2ml of sample was loaded onto a 3ml Resource RPC column (Pharmacia Biotech) and bound peptide eluted with a linear gradient of 0.05% trifluoroacetic acid (TFA) to 50% acetonitrile, 0.0% TFA over 20 column volumes. The eluate was monitored for absorbance
20 at 210 and 280nm. The active peak eluted at approximately 20% acetonitrile.

Example 3

Identification of peptide sequence

The sequence of the active peptide in the product of Example 2 could not be
25 determined directly, probably due to a blocked N-terminus. The peptide was reduced and subjected to and tryptic digestion. This yielded a series of fragments which could be sequenced using Edman degradation methods. Using a combination of this, and mass spectrometry, the sequence of the peptide was determined as being SEQ ID No. 2. The mass spectrometry data indicates that the N-terminal glycine is acetylated.

Example 4Biological Activity in insect bioassay

The isolated peptide was bioassayed against a range of insect species using the following method:

Prior to the assay twenty neonate *lepidoptera* larvae were gently brushed into each of three 'minipots' containers per treatment (i.e. three replicates per treatment). The peptide from Example 2 was diluted using 0.1% Synperonic™ solution to act as a wetter and aid the spread of the material over the waxy leaf cuticle. In spectrum assays, test materials were made up to a single high concentration, whereas in potency assays vs. *H. virescens* a rate range was tested.

Three freshly excised cotton leaves per treatment had 0.1 ml of the appropriate treatment applied by pipette to the centre of the axial surface of each leaf. The droplet was then spread over a circular area in excess of the diameter of a minipot with a fine artists paint brush (a fresh paint brush being used for each compound to avoid contamination). The leaves were left in a fume cupboard just long enough for the surface deposit to dry but care was taken to avoid excessive leaf wilting.

Once dry the leaves were placed, contaminated surface down over the appropriately labelled minipot and a lid snapped over it. The minipots were placed in plastic trays and held in a controlled temperature at 25-27°C.

After three days the numbers of live larvae remaining were counted and percent mortality determined. In the *H. virescens* potency assay the test data was run through a logit analysis package to establish the LC₅₀.

The results for 4 lepidopteran pests are shown in Table 2.

Table 2

| <u>Test Species</u> | <u>Rate (ppm)</u> | <u>% kill</u> |
|----------------------------|-------------------|---------------|
| <i>Heliothis virescens</i> | 1000 | 100 |
| <i>Helicoverpa zea</i> | 1000 | 100 |
| <i>Spodoptera exigua</i> | 1000 | 100 |
| <i>Plutella xylostella</i> | 1000 | 100 |

- 20 -

b) Cell cytotoxicity

Two cell lines were used to determine if the peptide from Example 2 was cytotoxic to either mammalian cells (MEL cells) or insect cells (Sf21 cells). MEL cells and Sf21 cells were grown in DMEM and TC100 media respectively in 96-well microtitre plates and incubated with the appropriate concentration of peptide. The cells were scored for visible cell death after 24 hours and viability and growth assessed after 3 (MEL cells) or 4 (Sf21) days using the reduction of MTT (3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) to form an insoluble purple formazan as a marker for metabolically active cells. At the highest rate tested (100 µg/ml) the peptide did not inhibit cell growth or cause any cytotoxic effects on either cell line.

Example 5

Comparison of protein sequences to SEQ ID NO. 1 using the FASTA Algorithm:

A FASTA comparative search of SEQ ID No. 1 to a database of protein sequences was carried out. SEQ ID NO 1 was compared to all publicly available protein sequences using the FASTA method (FASTA version 3.0t82 November 1, 1997 Reference: W.R. Pearson & D.J. Lipman PNAS (1988) 85:2444-2448). The results were given in the form of an opt score.

Example 6

Characterisation of natural coding sequence of peptide of SEQ ID No. 2

Harvesting of Material

A *Paecilomyces farinosus* strain having insecticidal activity was grown in Sabouraud Dextrose Broth (Difco Laboratories: 10g Bacto Neopeptone, 20g Bacto Dextrose per litre water) for 5 days at 24°C with shaking at 180 rpm. The culture was pelleted (8000rpm, 10 minutes) and stored at -80°C until use.

RNA Extraction

Harvested material was ground to a fine powder using a pestle and mortar under liquid nitrogen. RNA was extracted from 1g of fungal pellet using the Qiagen RNeasy kit,

following manufacturers specifications. The total RNA fraction was eluted from the RNeasy purification column in 1ml water. Poly(A)+ RNA was isolated from 700µg total RNA using the Promega PolyATtract mRNA isolation system I, following manufacturers' specifications. The Poly(A)+ RNA fraction was eluted from the magnetic beads in 1ml water, and
 5 concentrated to 15µl (of approximately 0.5mg/ml) by ethanol precipitation.
 RNA samples were stored at -80°C until use.

In the following reactions, the primers and probes used are summarised in Table 3.

Table 3
 Primer/Probe sequences

| Designation | Primer Sequence | SEQ ID No |
|-----------------|---|-----------|
| Anchor1 | TCGGGCTCGCATGAATTCGCGGCCGCATTTTT TTTTTTTTTTTTT | 25 |
| Anchor1 - R1 | TCGGGCTCGCATGAATTCG | 26 |
| Anchor1 - R2 | ATGAATTCGCGGCCGCAT | 27 |
| Anchor1 - R3 | TCGGGCTCGCATGAATTCGCG | 28 |
| Anchor1 - R4 | CTCGCATGAATTCGCGGCCGC | 29 |
| F1 | ATHTGYACNCCNGCNGG | 30 |
| F2 | ATHTGYACNCCNGCNGGNGT | 31 |
| F3 | ACNCCNGCNGGNGTNAA | 32 |
| F4 | CCNTGYTGYCCNGGNYT | 33 |
| F5 | TNAARTGYATHGGNGG | 34 |
| F6 | GGNGTNAAYAA YAARGTNTG | 35 |
| F7 | AARATHHTGYACICCGICGGIGTIAA | 36 |
| F8 | CCIGCIGGIGTIAARTGYCCIGCIGC | 37 |
| F9 | TGYCCIGCIGCIYTICCTGYTGYCC | 38 |
| F10 | TGYATHGGIGGIGTIAAYAA YAARGT | 39 |
| F11 | TAAATGTCCCGCGGCTCTTCC | 40 |
| F12 | CGGCTCTTCCTTGCTGCCCCG | 41 |
| F13 | TGCTGCCCCGGACTTCGCTGC | 42 |
| Anchor3- attach | HO-GGTTTAATTACCCAAGTTTGAGNNNNN - NH ₂ | 43 |

| | | |
|--------------|--|----|
| Anchor3 | PO ₄ - CTCAAACCTGGGTAATTAAACC - NH ₂ | 44 |
| Anchor3 - F1 | GGTTTAATTACCCAAGTT | 45 |
| Anchor3 - F2 | TAATTACCCAAGTTTGAG | 46 |
| Anchor3 - F3 | GGTTTAATTACCCAAGTTTGAG | 47 |
| R1 | CAIACYTTRTTRTTIACICCICC | 48 |
| R2 | ATGCAGCGAAGTCCGGGGCAG | 49 |
| R3 | GGGGCAGCAAGGAAGAGCCGC | 50 |
| R4 | AAGAGCCGCGGGACATTTAAC | 51 |
| Probe F | AGTTAAATGTCCCGCGGCTCTTCCTTGCTGCC CCGGACTTCGCTGCATC | 52 |
| Probe R | GATGCAGCGAAGTCCGGG | 53 |

where "F" designates a forward primer and "R" designates a reverse primer.

RACE PCR

First strand cDNA synthesis

- 5 The ClonTech Advantage RT-for-PCR kit was used for this, in accordance with the manufacturers' specifications.
- 20 pmol oligo(d)T primer 'Anchor1' was annealed to 1 µg total RNA in a total volume of 13.5 µl by heating to 70°C for 2 minutes and rapidly quenching on ice.
- The following reaction components were added:
- 10 4 µl 5 x reaction buffer; 0.5µl RNase inhibitor; 1 µl MMLV reverse transcriptase; 1 µl 10mM dNTP. The reaction was incubated at 42°C for 1 hour, and the reaction stopped by denaturing the enzyme at 95°C for 5 minutes. 80 µl RNase free water was added and cDNA stored at -80°C.

15 3' RACE PCR

- 5 µl of reaction mix from the first strand cDNA synthesis reaction was used as a template with various primer set combinations to amplify the 3' end of the peptide coding cDNA. The primers (see Table 3) used were degenerate, and designed on the known amino acid sequence of the N-terminal end of the mature peptide to allow for selective
- 20 amplification.

PCR reactions were performed using Ready-To-Go PCR Beads (Amersham Pharmacia Biotech). These contain all necessary components for a PCR reaction as a bead in a 0.5 ml tube. The following components were added: cDNA template 5 µl reaction mix from cDNA synthesis step; Forward primer 25 pmol; Reverse primer 25 pmol; Sterile Water to a final
5 volume of 25 µl .

PCR cycle conditions

(1) 95 °C 1 min; (2) 95 °C 1 min; (3) 64 °C* 1 min; (4) 72 °C 1 min (steps 2-4 for 30 cycles); (5) 72 °C 10 min (*Annealing temperature varied depending on primer set).

10 PCR products were visualised by agarose gel electrophoresis on a 1% agarose gel in TBE buffer. Discrete PCR products were cloned into pCR2.1 TOPO using the Invitrogen TOPO TA cloning kit according to the manufacturers' specification. Each ligation contained: 1 µl PCR product; 1 µl pCR2.1 TOPO vector (Figure 5); 3 µl Sterile Water and was incubated at room temperature for 5 minutes. 2 µl of each ligation mix was transformed into TOP10
15 competent cells by heat shock at 42°C for 30 seconds followed by incubation on ice for 2 minutes. Transformed cells were allowed to express beta-lactamase by incubation at 37°C in SOC medium (2% tryptone, 0.5% yeast extract, 10mM NaCl, 2.5mM KCl, 10mM MgCl₂, 10mM MgSO₄, 20mM glucose) for 1 hour with shaking at 225 rpm.

Cells were plated on Luria-Bertani Agar plates (1.0% tryptone, 0.5% yeast extract,
20 1.0% NaCl, 15g/L agar, 0.006% X-gal, 0.15mM IPTG) containing 50µg/ml kanamycin for plasmid transformant selection and to enable identification of those containing recombinant TOPO TA isolates. Discrete white colonies were selected from different PCR TOPO TA reactions, grown overnight in 5 ml Luria-Bertani (1.0% tryptone, 0.5% yeast extract, 1.0% NaCl, in water, pH 7.0) containing 50µg/ml kanamycin.

25 Plasmid DNA was extracted from the cultures using the Wizard DNA purification kit (Promega), following manufacturers' specifications. DNA was eluted in 50µl sterile water. Plasmid DNA was digested with *EcoRI* to confirm the presence and size of inserts. 3 µl Plasmid DNA; 1 µl *EcoRI* (Kramel Biotech); 1 µl 10 x Restriction Buffer 6 (Kramel Biotech); 5 µl Sterile water. Digests were incubated at 37°C for 2 hours and the presence or
30 absence and size of inserts determined by agarose gel electrophoresis. Based on these analyses, recombinant plasmids were selected for sequencing on a Perkin Elmer ABI 377XL DNA sequencer with the ABI Prism dye terminator cycle sequencing ready reaction kit,

- 24 -

according to the manufacturers' protocol. 4 pmol primer M13 Univ or M13R; 5 µl DNA; Sterile water to 12 µl. The coding sequence of the peptide of SEQ ID No. 2 was identifiable by translation of the nucleotide sequence into amino acid sequence in all possible reading frames and comparison of this sequence to the known amino acid sequence of the peptide.

5 This analysis used the DNA Star sequence analysis software (SeqMan, EditSeq, Macaw, VectorNTI).

5' RACE PCR

An anchor-ligation approach (Troutt, A.B., et al., *Proc. Natl. Acad. Sci. USA.* 89, 9823-9825) was used to obtain the nucleotide sequence of the 5' half of the 524445 gene. This entailed attachment of a specific anchor primer to the 5' end of the first strand cDNAs. Use of this sequence together with mRNA specific for the peptide of SEQ ID No. 2 complementary 3' primers allowed for selective amplification of the 5' end of the corresponding coding cDNA.

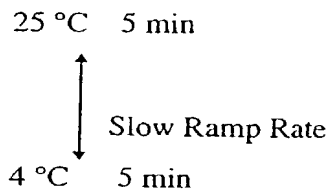
15 Primer annealing

Complementary oligonucleotides Anchor3 and Anchor3-attachment were annealed to each other in equimolar ratio's at three different final concentrations (1nM, 100nM, 10mM). Oligonucleotide mixtures were heated to 95°C and cooled slowly to 45°C for annealing.

(2) Ligation of annealed primer to cDNA

20 The attachment primer is complementary to the anchor primer, but contains a 3' extension of 5 additional fully degenerate bases i.e. synthesised with A, G, C and T at each position. This degenerate 'tail' allows individual attachment primers to anneal to the 3' terminus of any cDNA molecule. An amido group at the 3' end of the primer blocks DNA synthesis. A phosphate group at the 5' end of the anchor primer allows ligation of this to the 3' end of the cDNA molecules to provide a specific recognition sequence for PCR amplification. Reactions for ligation of annealed anchor primers to first strand cDNA preparations contained: 5 µl reaction mix from first strand cDNA synthesis; 30 mM Tris HCl (pH 8); 10 mM MgCl₂; 10 mM Dithiothreitol; 0.5 mM ATP; 1 µl T4 DNA ligase (4 U/µl) (Kramel Biotech); 1 µl Water; 1 µl annealed anchor primers (final concentrations of 100mM, 10nM, 1mM).

30 Reactions were cycled overnight as follows:



Reactions were pooled, incubated at 95°C for 5 minutes and snap frozen in liquid nitrogen. After thawing on ice, excess primers were removed by purification through a Wizard PCR clean-up column (Promega) using the manufacturers' specifications. cDNAs were eluted in 40 µl water.

(3) RACE PCRs

PCR reactions were set up using the anchor-linked cDNA as a template, specific forward primers based on this anchor sequence, and specific primers based on the gene sequence of the peptide of SEQ ID NO 1 identified previously by 3'RACE. PCR reactions were performed using Ready-To-Go PCR Beads (Amersham Pharmacia Biotech) as used previously for 3' RACE. Components added to the PCR beads were: 1 µl cDNA template with Anchor3 annealed to 3' end of first strand cDNA; 20 pmol forward primer; 20 pmol reverse primer; sterile water to total volume of 25 µl.

PCR cycle conditions were: (1) 95 °C 1 min; (2) 95 °C 1 min; (3) 58 °C* 1 min; (4) 72 °C 1 min (steps 2-4 for 30 cycles); (5) 72 °C 10 min (*Annealing temperature varied depending on primer set). PCR products were visualised by agarose gel electrophoresis and TOPO cloned as described above. Plasmid DNA was extracted from clones carrying candidate recombinant plasmids by Wizard miniprep, *EcoRI* digested and sequenced, as performed previously for 3' RACE clones (described above).

cDNA Library

Library Construction

A cDNA library of the fungus *Paecilomyces farinosus* was constructed using the lambda-ZAP cDNA synthesis and ZAP-cDNA Gigapack III Gold Cloning kit from Stratagene, according to the manufacturers' specifications unless stated. Double stranded cDNA was synthesised using 5 µg the mRNA from the peptide of SEQ ID No. 2 (see above) as a template. This involved first and second strand cDNA synthesis, blunting of cDNA termini, ligation of adapters, and digestion with specific restriction enzymes to produce

appropriate 'sticky ends' for directional cloning. A Sephacryl S-400 HR MicroSpin column (Amersham Pharmacia Biotech) was used to remove excess adapters rather than the size fractionation step suggested in the kit. The gel filtration medium provided in the kit (sepharose CL-2B) separates molecules on the basis of size with a cut-off of 400bp. As the mature insecticidal peptide is only 33 amino acids long, it is highly likely that the gene may be smaller than 400bp and would have been selected against using the sepharose filtration medium. cDNAs were ligated into the Uni-ZAP XR vector and packaged into phage. The library titre was 2.5 million clones, with an average insert size of 700bp ranging from 150bp to 2 Kb.

10

Library screening

A total of 500,000 plaques were plated on Luria-Bertani Agar plates according to the cDNA library manufacturers' specification. Duplicate lifts of the plaques were made onto nitrocellulose membrane (Hybond-N, Amersham Pharmacia Biotech).

15 The membranes were prehybridised in Denhardt's hybridisation solution (5x SSPE, 5x Denhardt's Reagent [50x Denhardt's Reagent: 5g Ficoll, 5g polyvinylpyrrolidone, 5g bovine serum albumin, sterile water to 500ml], 0.5% SDS, sterile water to 1L) containing 200µl salmon sperm DNA (10mg/ml) which had been denatured by boiling for 10 minutes, for 2 hours at 65°C. A radioactive probe was prepared by end labelling an oligonucleotide specific for the coding sequence of a peptide of SEQ ID NO 1: 25 ng Oligonucleotide (445-F11); 1 µl polynucleotide Kinase Buffer (Kramel Biotech); 1.5µl T4 Polynucleotide Kinase (Kramel Biotech); 5 µl gamma 32P dATP; sterile Water to 10 µl. The probe was incubated at 37°C for 5 hours. The probe was added to 50ml Denhardt's hybridisation solution and hybridised overnight at 65°C. Membranes were washed in a 0.1x SSC, 0.1% SDS solution for 4 x 15 minutes to remove unbound probe. Exposure to x-ray film identified positive plaques containing sequence encoding protein depicted as SEQ ID No. 2.

25 Positive plaques were cored from the original agar plates into 1ml SM buffer (5.8g NaCl, 2g MgSO₄·7H₂O, 50ml 1M Tris-HCl pH 7.5, 5ml 2% gelatin, sterile water to 1L) containing 20ml chloroform and vortexed. The phage DNA was allowed to enter the phage buffer by incubation at 4°C overnight. Samples of phage were then diluted and re-plated to obtain approximately 200 plaques per plate. The plaque lift and hybridisation procedure above was repeated to identify positives.

30

This process was followed for three rounds of screening until the plaques were pure. Self excision of 12 candidate positive plaques into colonies was performed as per Stratagene's specifications with the cDNA library kit.

Candidate colonies were grown overnight in 5ml Luria-Bertani medium containing 100µg /ml ampicillin, plasmid DNA extracted using Promega's Wizard miniprep kit, and the inserts sequenced using M13 Universal and M13 Reverse primers (see above for details of all).

Nucleotide Sequence

The nucleotide sequence of the peptide of SEQ ID No. 2 is shown as SEQ ID No. 14 and also in Figure 2. The putative translation initiation codon and stop codon are shown in italics. The sequence which codes for the mature peptide is underlined.

The sequence in Figure 2 indicates that there is approximately a 110 nucleotide 5' non-coding sequence and a 160 nucleotide 3' non-coding sequence. There seems to be a 17 amino acid signal peptide 5' of the mature coding sequence. Potential signal sequence cleavage sites were predicted based on the method of von Heijne, G. (1986). *Nucleic Acids Research*. 14, 4683. The potential cleavage site is indicated by a downward pointing arrow. It is probable that a secondary processing event removes the signal peptide from the mature peptide, e.g. by signal peptidase cleavage.

Example 7

Expression of peptide in corn

European Corn Borer (*Ostrinia nubilalis*) and therefore corn, *Zea mays*, which has been transformed so as to express this peptide would be expected to be protected against this pest.

Suitable constructs for expression in corn can be summarised as follows:

| Construct | Promoter | Signal Peptide | Gene | Terminator |
|-----------|-----------|----------------|----------------------------|------------|
| 1 | Maize Ubi | SEQ ID NO 17 | SEQ ID No. 14 ⁺ | nos |
| 2 | Maize Ubi | SEQ ID NO 15 | SEQ ID No. 14 ⁺ | nos |
| 30 3 | Maize Ubi | SEQ ID NO 19* | SEQ ID No. 14 ⁺ | nos |

* This signal peptide contains an internal *NcoI* site which can be mutated (for example CCATGG → CTATGG) to destroy it if *NcoI* is required for cloning.

+ The natural coding sequence can be modified in accordance with the degeneracy of the genetic code, and in particular for the purpose of codon optimisation in corn.

5 The signal peptide can be fused to the mature gene for example using an overlapping PCR approach as illustrated in Figure 2. The fusion is suitably designed with restriction sites to allow cloning into monocot vectors. For example, it may comprise the following:

5' *NcoI* - *KpnI* ----- Signal ----- Gene ----- *SacI* - *HindIII* 3'

10 The full length signal-gene fusion can be ligated between the maize ubiquitin promoter and nos terminator into a backbone vector containing PAT selection (phosphinothricin - basta herbicide resistance).

These constructs can be used to transform corn cells which can then be grown into callus as is well known in the art. The transformed callus can be subjected to a corn callus transient assay and/or an *in vivo* bioassay to confirm expression and activity of the peptide.

15

Example 8

Expression of Peptide in Cotton

20 The peptide of the invention has good activity against the Beet Armyworm (*Spodoptera exigua*) which is a major cotton pest. Thus cotton *Gossypium hirsutum*, which has been transformed to express this peptide would be protected against this pest.

Suitable constructs for use in the transformation in this case can be summarised as:

| <i>Construct</i> | <i>Promoter</i> | <i>Signal Peptide</i> | <i>Gene</i> | <i>Terminator</i> |
|------------------|-----------------|-----------------------|--------------|------------------------------|
| 4 | RoIDFd | SEQ ID NO 23 | SEQ ID NO 14 | potato protease inhibitor II |
| 25 5 | RoIDFd | SEQ ID NO 20 | SEQ ID NO 14 | potato protease inhibitor II |
| 6 | RoIDFd | SEQ ID NO 24 | SEQ ID NO 14 | potato protease inhibitor II |

30 The signal peptide can be fused to the mature gene using an overlapping PCR approach as in Example 7. In this case, the fusion is suitably designed with restriction sites to allow cloning into dicot vectors. The full length signal-gene fusion can be ligated into a housekeeping vector between the RoIDFd promoter and potato protease inhibitor II terminator. The entire cassette could then be cut out using restriction enzymes and ligated

into an appropriate binary vector. Constructs can then be tested using conventional methods. Other modifications of the present invention will be apparent to those skilled in the art without departing from the scope of the invention.

Example 9

5 Insecticidal activity of the protein combination

Previously prepared European Corn Borer (ECB) artificial diet was dispensed in small quantities into tubes and held in a warm water bath at 70°C. To each tube containing 975ml of diet, 75ml of the appropriate test sample was added. The test samples comprised a mixture of the cryIIa1 protein (SEQ ID No. 54) and the protein depicted as SEQ ID No. 2 (the R524445 protein). The "incorporated diet" was mixed well and 180ml aliquots were then pipetted out onto Falcon™ 1006 petri dishes, giving five replicates for each sample. The dishes were infested 1 - 5 hours after the diet is dispensed with five 1st instar larvae per dish/rep and then lidded. The test was held in the dark at 27°C and 70 - 80% RH and the insects were assessed five days after treatment for mortality. The results are shown in the

15 Table 4 below:

Table 4

| (Results shown as number of insects living)/(number of insects within the dishes) | | | | | | |
|---|-------------------------------------|-------|-------|-------|-------|------|
| cryIIa1 Conc. (PPM) | R524445 Protein concentration (PPM) | | | | | |
| | 0.00 | 4.91 | 7.60 | 11.75 | 18.16 | 22.4 |
| 0.00 | 15/15 | 13/15 | 10/14 | 8/15 | 6/15 | 5/15 |
| 2.48 | 10/11 | 1/14 | 0/15 | 0/15 | 0/15 | - |
| 3.65 | 7/11 | 1/15 | 0/15 | 0/15 | 0/15 | - |
| 5.36 | 3/13 | 0/15 | 0/15 | 0/15 | 0/15 | - |
| 7.87 | 1/15 | 0/15 | 1/14 | 0/15 | 0/15 | - |
| 11.57 | 2/13 | - | - | - | - | - |

CLAIMS

1. An insecticidal protein comprising the sequence:

X₁X₂ICTPAGVKCPAALPCCPGLRCIGGVNNKVCR (SEQ ID No. 1).

5 wherein X₁ and X₂ are any amino acid.

2. An insecticidal protein according to claim 1 wherein X₁ and X₂ are selected from the group consisting of: Glycine; Lysine; Serine; Tyrosine; Alanine; Methionine; Threonine; Glutamic acid; Aspartic acid; Asparagine and Valine.

10

3. An insecticidal protein according to claim 2 comprising the sequence:

GKICTPAGVKCPAALPCCPGLRCIGGVNNKVCR (SEQ ID No. 2).

15

4. An insecticidal protein having at least 55% identity to a protein according to any of claims 1 to 3.

5. An insecticidal protein having at least 70% identity to a protein according to any of claims 1 to 3.

20

6. An insecticidal protein according to any of claims 1 to 5 wherein the amino acid at position X₁ is modified.

7. An insecticidal protein according to claim 6 wherein the amino acid at position X₁ is acetylated.

25

8. An insecticidal protein according to claim 6 or 7 wherein the amino acid at position X₁ is at the N-terminus.

9. A polynucleotide encoding a protein according to any one of claims 1 to 5.

30

10. A polynucleotide sequence which is the complement of one which hybridises to a polynucleotide according to claim 9 at a temperature of about 65°C in a solution

containing 6 x SSC, 0.01% SDS and 0.25% skimmed milk powder, followed by rinsing at the same temperature in a solution containing 0.2 x SSC and 0.1% SDS wherein said polynucleotide sequence still encodes an insecticidal protein.

- 5 11. A polynucleotide sequence according to claim 10 comprising the sequence depicted as SEQ ID Nos. 4 to 14.
12. An insecticidal synergistic combination comprising a first protein according to any one of claims 1 to 8 and at least one further protein.
- 10 13. A combination according to claim 12 wherein said further protein is an insecticidal CRY protein.
14. A combination according to claim 13 wherein the said further protein comprises a
15 sequence selected from the group consisting of SEQ ID Nos. 54 to 59.
15. A polynucleotide which comprises regions encoding the first and further protein according to any one of claims 12 to 14.
- 20 16. A polynucleotide according to claim 15 wherein the region encoding said first protein comprises a sequence selected from the group depicted as SEQ ID Nos. 4 to 14.
17. A method of evolving a polynucleotide which encodes a protein having insecticidal properties comprising:
- 25 (a) providing a population of variants of said polynucleotide and further polynucleotides which encode further proteins, where at least one of said polynucleotides is in cell free form; and
- (b) shuffling said variants and further polynucleotides to form recombinant polynucleotides; and
- 30 (c) selecting or screening for recombinant polynucleotides which have evolved towards encoding a protein having the said insecticidal properties; and

(d) repeating steps (b) and (c) with the recombinant polynucleotides according to step (c) until an evolved polynucleotide which encodes a protein having insecticidal properties has been acquired wherein said population of variants in part (a) contains at least a polynucleotide according to any one of claims 9 to 11, 15 or 16.

5 18. A method according to claim 17 wherein said population of variants in part (a) contains at least a polynucleotide encoding the protein depicted as SEQ ID No. 1 to 3 and/or said further polynucleotides in part (a) encode a CRY protein.

10 19. A polynucleotide obtainable or obtained by the method according to claim 17 or 18.

20. A protein encoded by a polynucleotide according to claim 19.

15 21. A DNA construct comprising in sequence a plant operable promoter operably linked to a polynucleotide according to any one of claims 9 to 11, 15, 16 or 19 or a polynucleotide encoding a protein according to claim 20 operably linked to a transcription termination region.

20 22. A DNA construct according to claim 21 which further comprises a region which provides for the targeting of the protein product to a particular location.

23. A DNA construct according to claim 21 or 22 which further comprises a region which provides for the production of a protein which acts as a selectable marker.

25 24. A DNA construct according to any one of claims 21 to 23 wherein the plant operable promoter is selected from the group consisting of *Agrobacterium rhizogenes* Rold; potato protease inhibitor II; CaMV35S; FMV35S; NOS; OCS; Patatin; E9; alcA/alcR switch; GST switch; RMS switch; oleosin; ribulose bisphosphate carboxylase-oxygenase small sub-unit promoter and other root specific promoters including MR7
30 promoter (maize); Gos 9 (rice) and GOS2 promoters.

25. A method of providing a plant or plant part with an insecticidal protein or an insecticidal synergistic combination comprising:
- (a) inserting into the genome of plant material a polynucleotide according to any one of claims 9 to 11, 15, 16 or 19 or a polynucleotide encoding a protein according to claim 20 or a DNA construct according to any one of claims 21 to 24; or
- 5 (a) inserting into the genome of plant material which is capable of producing a further protein, a polynucleotide according to any one of claims 9 to 11, 19 or a polynucleotide encoding a protein according to claim 20 or a DNA construct according to any one of claims 21 to 24; or
- 10 (a) inserting into the genome of plant material which is capable of producing a protein according to any one of claims 1 to 8 or a protein provided for by a polynucleotide according to any one of claims 9 to 11 or 19, a polynucleotide which provides for a further protein; and
- (b) regenerating plants or plant parts from said material; and
- 15 (c) selecting the plants or plant parts having said protein or combination.
26. A method of providing a plant with a combination according to any one of claims 12 to 14 comprising crossing a first plant which is capable of providing a first protein according to any one of claims 1 to 8 or a first protein provided for by a
- 20 polynucleotide according to any one of claims 9 to 11 or 19 with a second plant which is capable of producing a further protein and selecting the resultant plant which is capable of producing said combination.
27. Plants or plant parts obtained according to the method of claim 25 or plants obtained according to the method of claim 26.
- 25
28. Plants or plant parts according to claim 27 wherein said protein or the first protein of said combination is post translationally modified.
29. Plants or plant parts according to claim 28 wherein said protein or the first protein of
- 30 said combination is acetylated.

30. Plants or plant parts according to claim 28 or 29 wherein said protein or the first protein is modified/acetylated at the N-terminus.
31. Plants or plant parts according to claim 27 to 30 selected from the group consisting of
5 melons, mangoes, soybean, cotton, tobacco, sugarbeet, oilseed rape, canola, flax, sunflower, potato, tomato, alfalfa, lettuce, maize, wheat, sorghum, rye, bananas, barley, oat, turf grass, forage grass, sugar cane, pea, field bean, rice, pine, poplar, apple, peaches, grape, strawberries, carrot, lettuce, cabbage, onion, citrus, cereal, nut plants or other horticultural crops.
32. A method of providing a plant or plant part with a further desired agronomic trait comprising:
10 (a) inserting into the genome of plant material a polynucleotide which provides for the desired agronomic trait; and
15 (b) regenerating plants or plant parts from said material; and
(c) selecting the plants or plant parts having said desired agronomic trait wherein said plant material is capable of producing an insecticidal protein according to any one of claims 1 to 8 or a combination according to claims 12 to 14; or
20 crossing a first plant according to any one of claims 27 to 31 with a second plant which provides for said further desired agronomic trait and selecting the resultant plant which is capable of producing the further agronomic trait.
33. A method according to claim 32 wherein the further desired agronomic trait is selected from the group consisting of: herbicide resistance; insect resistance;
25 nematode resistance; stress tolerance; altered yield; altered nutritional value or any other desirable agronomic trait.
34. Plants or plant parts obtained according to the method of claims 32 or 33.
- 30 35. An insecticidal protein comprising the sequence depicted as:
-X₁-X₂-X₃-Cys₄-X₅-X₆-X₇-X₈-X₉-X₁₀-Cys₁₁-X₁₂-X₁₃-X₁₄-X₁₅-X₁₆-Cys₁₇-Cys₁₈-X₁₉-
X₂₀-X₂₁-X₂₂-Cys₂₃-X₂₄-X₂₅-X₂₆-X₂₇-X₂₈-X₂₉-X₃₀-X₃₁-Cys₃₂-X₃₃- (SEQ ID No. 60)

- 35 -

wherein $X_{1-3, 5-10, 12-16, 19-22, 24-31}$ and $_{33}$ is any amino acid.

36. An insecticidal protein having a FASTA opt score greater than 109 when compared with SEQ ID No. 1 using FASTA algorithm Version 3.

5

37. An insecticidal protein obtainable from *Paecilomyces sp.*

38. An insecticidal protein according to claim 37 obtainable from *Paecilomyces farinosus*.

10

39. A method of controlling insects comprising providing at a locus where the insects feed a protein according to any one of claims 1 to 8, 20, 35 to 38 or a combination according to any one of claims 12 to 14.

15

40. Use of a polynucleotide according to any one of claims 9 to 11, 15, 16 or 19 or a DNA construct according to any one of claims 21 to 24 in the production of plants or plant parts which are resistant to insects.

20

41. Use of a protein according to any one of claims 1 to 8, 20, 35 to 38 or a combination according to any one of claims 12 to 14 as an active ingredient of a pesticide.

42. Use of a *Paecilomyces Sp.* in the preparation of a pesticide containing as an active ingredient a protein according to any one of claims 1 to 8.

25

43. Use according to claim 42 wherein said *Paecilomyces Sp.* has been modified to allow for increased production of a protein according to any one of claims 1 to 8.

30

44. A recombinant micro-organism which provides for production of a protein according to any one of claims 1 to 8, 20, 35 to 38 or a combination according to any one of claims 12 to 14 or a protein encoded by a polynucleotide according to any one of claims 9 to 11, 15, 16 or 19.

45. A recombinant baculovirus which comprises a protein according to any one of claims 1 to 8, 20, 35 to 38 or a combination according to any one of claims 12 to 14 or a protein encoded by a polynucleotide according to any one of claims 9 to 11, 15, 16 or 19.

5

46. Use of a baculovirus according to claim 45 in a method of controlling insects.

47. An insecticidal protein which is capable of reacting with a monoclonal antibody raised to the protein depicted as SEQ ID No. 1.

10

48. A composition comprising an insecticidally effective amount of a protein according to any one of claims 1 to 8, 20, 35 to 38 or a combination according to any one of claims 12 to 14 or a protein encoded by a polynucleotide according to any one of claims 9 to 11, 15, 16 or 19 and optionally an agriculturally acceptable carrier and/or a diluent and/or an insect attractant.

15

49. A polynucleotide comprising a first region encoding an insecticidal protein according to any one of claims 1 to 8, 20, 35 to 38 and a further region encoding a further protein.

20

50. A plant cell comprising a protein according to any one of claims 1 to 8, 20, 35 to 38 or a combination according to any one of claims 12 to 14 or a polynucleotide according to any one of claims 9 to 11, 15, 16 or 19.

25

51. An insecticidal protein comprising the motif depicted as -LPCCPG- (SEQ ID No. 63).

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9930536.9 23 December 1999 (23.12.1999) GB(71) Applicant (for all designated States except US): **ZENECA LIMITED** [GB/GB]; 15 Stanhope Gate, London W1Y 6LN (GB).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **GRIFFIN, Jonathan** [GB/GB]; Jealott's Hill International Research Centre, Bracknell, Berkshire RG42 6ET (GB). **CARLILE, Amanda, Jane** [GB/GB]; Jealott's Hill International Research Centre, Bracknell, Berkshire RG42 6ET (GB). **CAYLEY, Patricia, Jane** [GB/GB]; Jealott's Hill International Research Centre, Bracknell, Berkshire RG42 6ET (GB). **MACKAY, Elaine, Anne** [GB/GB]; Jealott's Hill International Research Centre, Bracknell, Berkshire RG42 6ET (GB). **WARNER, Simon, Anthony, James** [GB/GB]; Jealott's Hill International Research Centre,Bracknell, Berkshire RG42 6ET (GB). **VINCENT, Jason, Leigh** [GB/GB]; Jealott's Hill International Research Centre, Bracknell, Berkshire RG42 6ET (GB). **LEE, Michael, David** [GB/GB]; Jealott's Hill International Research Centre, Bracknell, Berkshire RG42 6ET (GB).(74) Agents: **HUSKISSON, Frank, Mackie et al.**; Intellectual Property Department, Zeneca Agrochemicals, P.O. Box 3538, Jealott's Hill Research Station, Bracknell RG42 6YA (GB).

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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

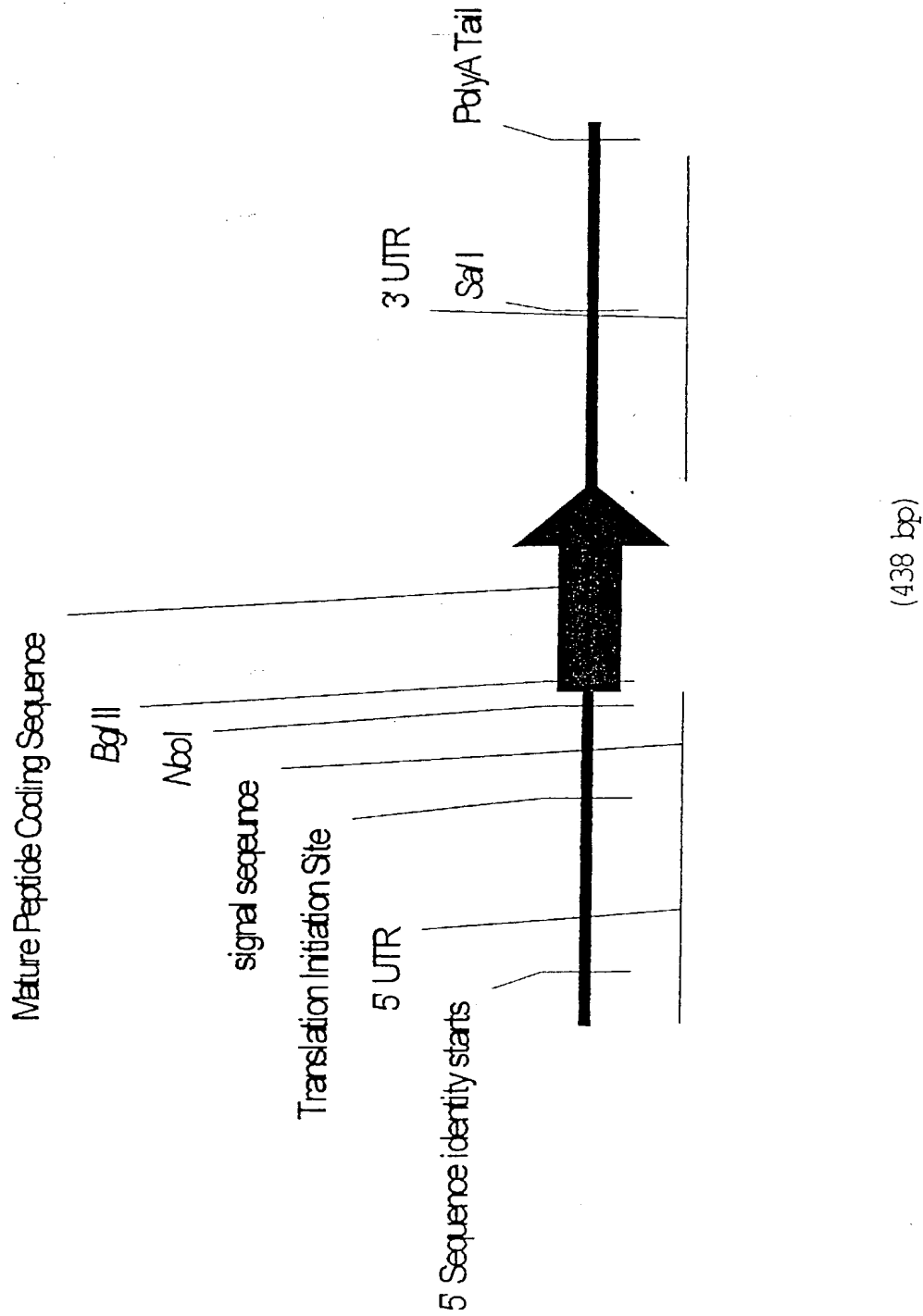
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| IPD AGROCHEMICALS |
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(54) Title: INSECTICIDAL PROTEINS FROM PAECILOMYCES AND SYNERGISTIC COMBINATIONS THEREOF

(57) Abstract: The present invention relates to insecticidal proteins, in particular proteins obtainable from *Paecilomyces* sp such as *Paecilomyces farinosus*. In a preferred embodiment the invention provides insecticidal proteins having the amino acid sequence depicted as SEQ ID No. 1. The invention also provides an insecticidal synergistic protein combination comprising a first insecticidal protein according to the invention in combination with a further protein. Preferably the further protein is an insecticidal crystal endotoxin (CRY) protein. Also provided are polynucleotides encoding the proteins and plants which are capable of producing the proteins or protein combination.

WO 01/00841 A1

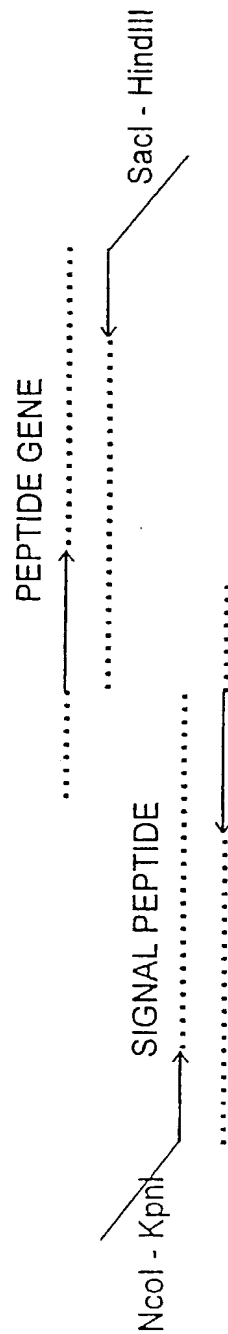
1/5 Figure 1



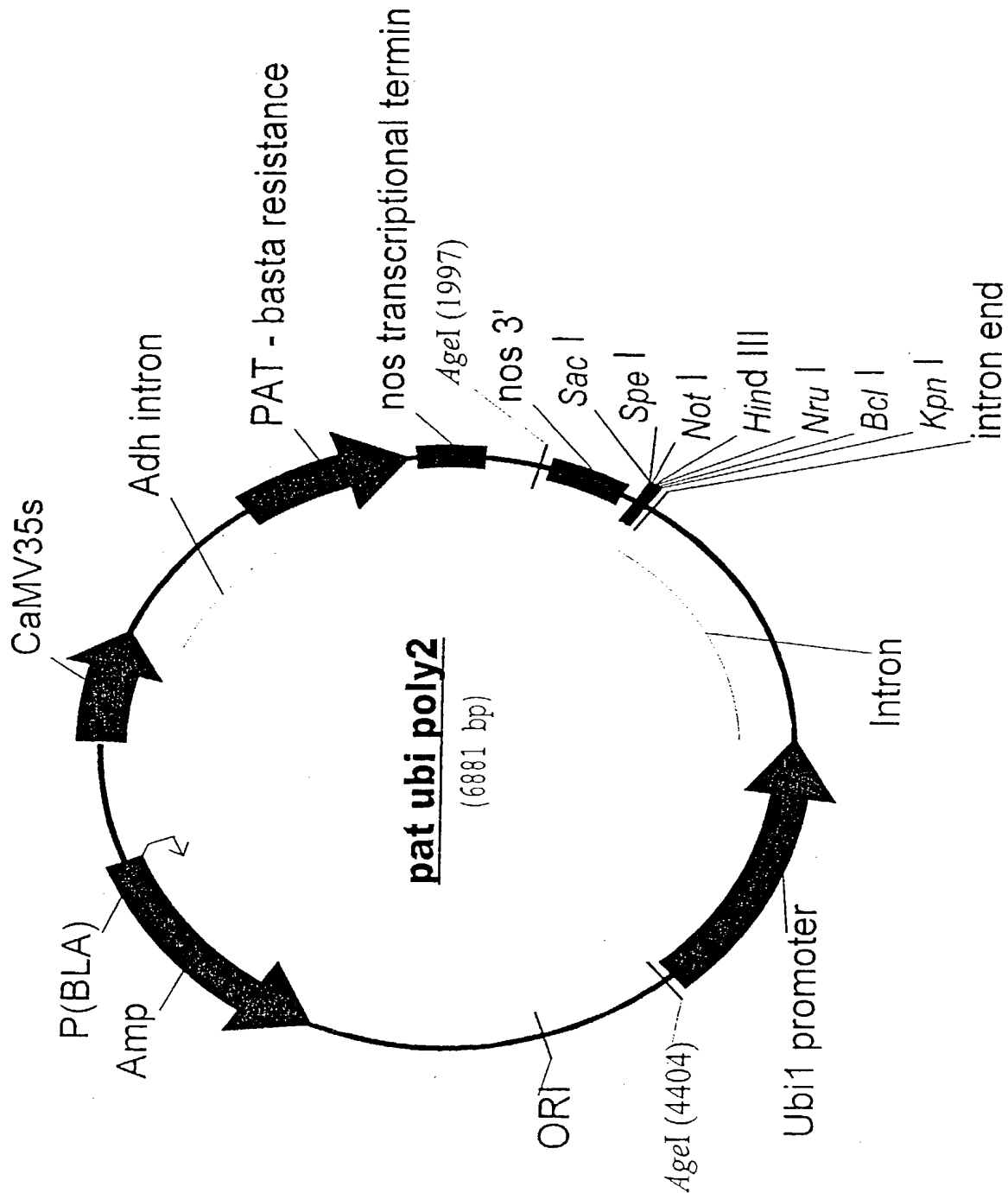
WO 01/00841

PCT/GB00/02457

2/5 Figure 2

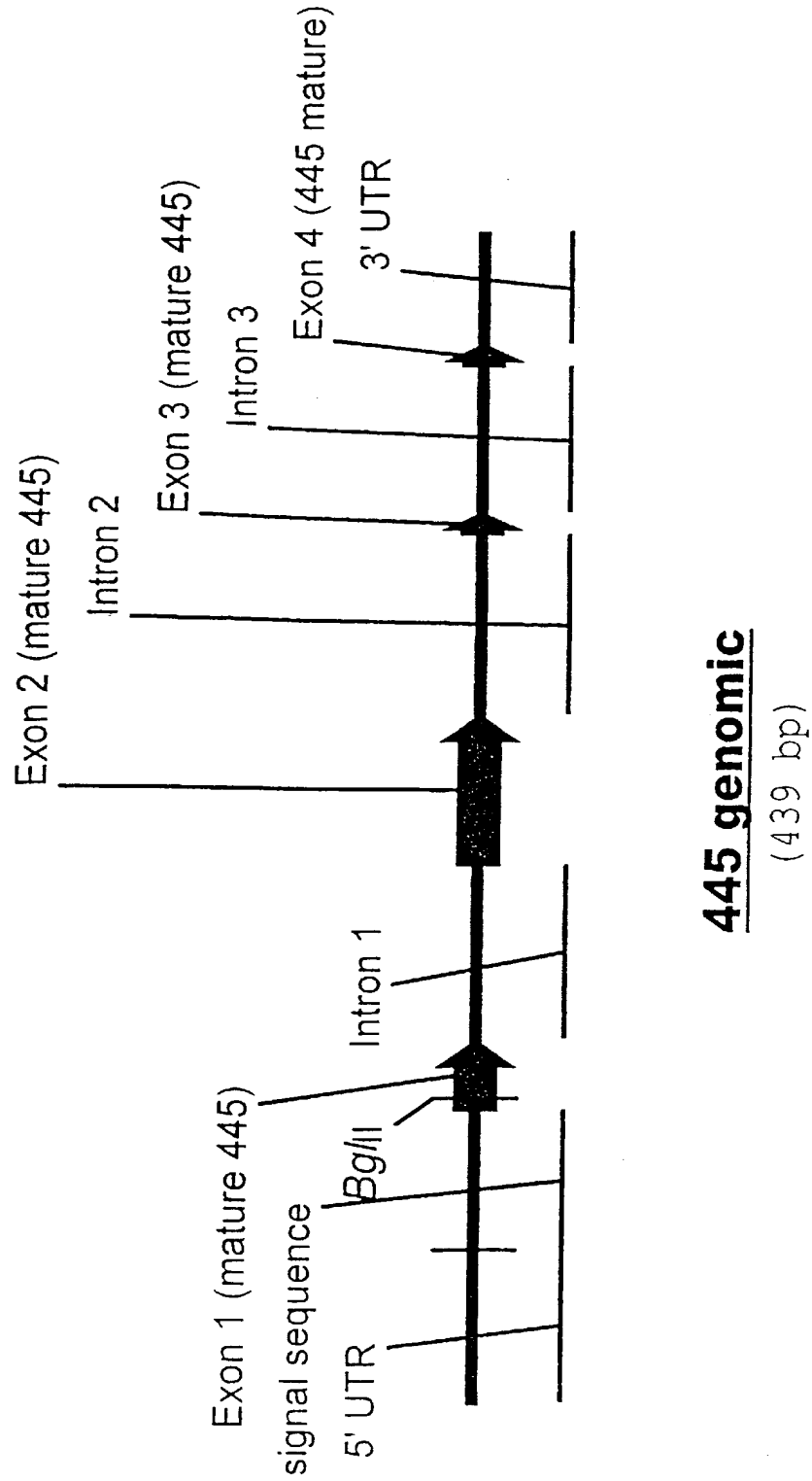


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Figure 3

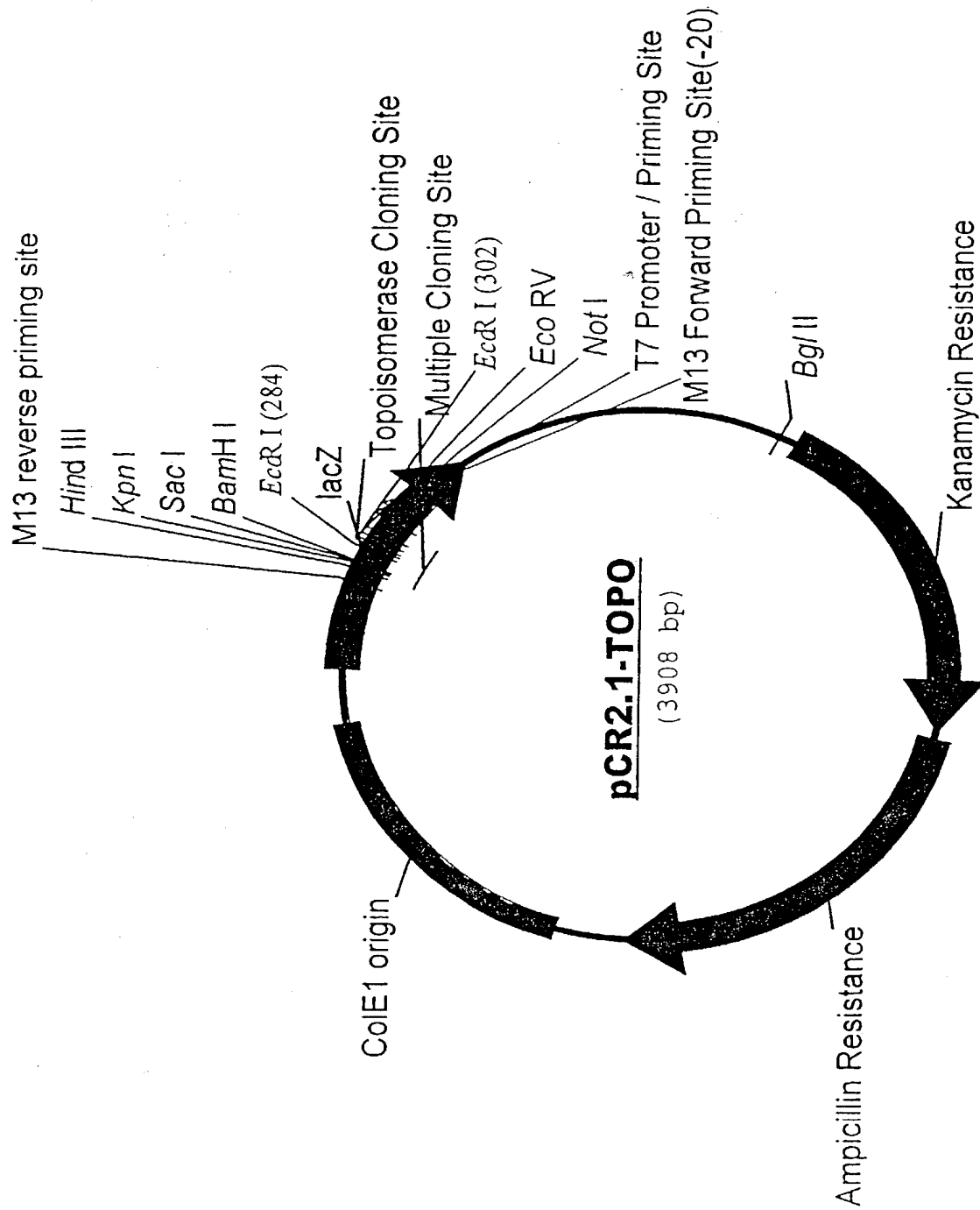


4/5

Figure 4



5/5
Figure 5



DECLARATION AND POWER OF ATTORNEY
(Attorney Docket No: 109846.298 (SYN-128))

As below-named inventors, we hereby declare that:

Our residences, post office addresses and citizenship are as stated below next to our names.

We believe that we are the original, and only inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**INSECTICIDAL PROTEINS FROM PAECILOMYCES
AND SYNERGISTIC COMBINATIONS THEREOF**

the specification of which (check only one):

- ☐ is attached hereto.
- ☒ was filed as United States Patent Application
Serial No10/019,823 on December 21, 2001
- ☐ was filed as PCT Patent Application Serial No. _____ on _____
and was amended under PCT Article 19 on _____ (if applicable)

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information that is material to the patentability of the claims of this application in accordance with Title 37, CFR §1.56(a) and §1.56(b). We also acknowledge the duty to disclose all information which is material to the patentability as defined in 37 CFR §1.56, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

We hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(e) or 365(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by us on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS
UNDER 35 U.S.C. §119(a)-(d) or 365(b), or 365(a):

| COUNTRY (if PCT indicate PCT) | APPLICATION NUMBER | DATE OF FILING | PRIORITY CLAIMED UNDER 35 U.S.C. §119 (YES/NO) |
|-------------------------------------|--------------------|-------------------|--|
| PCT | PCT/GB00/02457 | June 23, 2000 | Yes |
| GB | 9915215.9 | June 29, 1999 | Yes |
| GB | 9930536.9 | December 23, 1999 | Yes |

Attorney Docket No. 109846-298 (SYN-128)

I hereby claim benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

| Application Number(s) | Filing Date (MM/DD/YYYY) | |
|-----------------------|--------------------------|--|
| | | |

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or 365(c) of any PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

**PRIOR U.S. APPLICATION OR PCT INTERNATIONAL APPLICATION(S)
DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. §120 or 365(c):**

| APPLICATION NUMBER | DATE OF FILING (day, month, year) | STATUS: (PATENTED, PENDING OR ABANDONED) |
|--------------------|--------------------------------------|---|
| | | |

POWER OF ATTORNEY: As named inventors, we hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

50—

| | |
|---------------------------|----------|
| Alter, Scott M. | 32,879 |
| Baker, Hollie L. | 31,321 |
| Barakat, Barbara A. | 32,190 |
| Barrett, Steven D. | 40,903 |
| Bevilacqua, Michael J. | 31,091 |
| Byrne, Sally | 40,545 |
| Carey, M. Scott | P 50,998 |
| Cavanaugh, David L. | 36,476 |
| Cervený, David J. | 44,600 |
| Chiu, Ph.D., Nancy | 43,545 |
| Diener, Michael A. | 37,122 |
| Dichiara, Peter M. | 38,005 |
| Discher, Gregory S. | 42,488 |
| Donner, Irah H. | 35,120 |
| Goldberg, Neal | 48,527 |
| Goldenberg, Richard A. | 38,895 |
| Grieff, Edward D. | 38,898 |
| Haag, Joseph F. | 42,612 |
| Haller, Wendy A. | 35,177 |
| Kennard, Wayne M. | 30,271 |
| Kerner, Ph.D., Ann-Louise | 33,523 |
| Kahng, Anthony | 42,704 |
| Klunder, Ph.D., Janice M. | 41,121 |
| Lampert, James B. | 24,564 |

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Attorney Docket No. 109846-298 (SYN-128)

| | |
|------------------------------|----------|
| Lari, Ayla A. | 43,739 |
| Lippert, Nels | 25,888 |
| Love, Jane M., Ph.D. | 42,812 |
| Maebius, Maria L. | 42,967 |
| Massa, Dominic | 44,905 |
| McIsaac, Ph.D., Robert | 46,918 |
| Mendez, Tiffany | P 50,160 |
| Nicholson, Cynthia K. | 36,880 |
| Park, Keum J. | 42,059 |
| Pertmer, Ph.D., Tamera | 47,856 |
| Reyes, Jason A. | 41,513 |
| Rice, Ph.D., Gretchen A. | 37,429 |
| Schwartz, Jason J., Ph.D. | 43,910 |
| Scozzafava, Ph.D., Mary Rose | 36,268 |
| Steinberg, Donald R. | 37,241 |
| Souto, Victor F. | 33,458 |
| Superko, Colleen | 39,850 |
| Swaim, C. Hall | 22,838 |
| Thenor, Leonid | 39,397 |
| Twomey, Michael J. | 38,349 |
| Vallabh, Rajesh | 35,761 |
| Walpert, Gary A. | 26,098 |
| Whelan, Emily R. | P 50,391 |
| Wilson, Ph. D., M. Lisa | 34,045 |
| Wixon, Henry N. | 32,073 |
| Yeh, Luke | 43,296 |

the mailing address and telephone number of each of whom is c/o HALE AND DORR, 60 State Street, Boston, Massachusetts 02109 U.S.A., (617) 526-6000.

Send Correspondence to:

Colleen Superko.
HALE AND DORR LLP
60 State Street
Boston, Massachusetts 02109

Direct Telephone Calls to:

Colleen Superko.
(617) 526-6000 Phone
(617) 526-5000 Facsimile

Wherefore, we petition that letters patent be granted to us for the invention or discovery described and claimed in the attached specification and claims, and hereby subscribe our names to said specification and claims and to the foregoing declaration, power of attorney, and this petition.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Attorney Docket No. 109846-298 (SYN-128)

Full name of first inventor: Jonathan Griffin

1-00 Inventor's signature

Residence:

Citizenship:

Jonathan Griffin
Jealott's Hill International Research Centre
Bracknell, Berkshire, RG42 6ET Great BritainDate May 23, 2002GBNBRITISH**2-00 Full name of second inventor: Amanda Jane Carlile**

Inventor's signature

Residence:

Citizenship:

Amanda Carlile
Jealott's Hill International Research Centre
Bracknell, Berkshire, RG42 6ET Great BritainDate May 22, 2002GBNBRITISH**3-00 Full name of third inventor: Patricia Jane Cayley**

Inventor's signature

Residence:

Citizenship:

Patricia Cayley
Jealott's Hill International Research Centre
Bracknell, Berkshire, RG42 6ET Great BritainDate 29th May 2002GBNBRITISH**4-00 Full name of fourth inventor: Elaine Anne MacKay**

Inventor's signature

Residence:

Citizenship:

Elaine MacKay
Jealott's Hill International Research Centre
Bracknell, Berkshire, RG42 6ET Great BritainDate 30th May 2002GBNBRITISH**5-00 Full name of fifth inventor: Simon Anthony James Warner**

Inventor's signature

Residence:

Citizenship:

Simon Warner
Jealott's Hill International Research Centre
Bracknell, Berkshire, RG42 6ET Great BritainDate 30 May 2002GBNBRITISH**6-00 Full name of sixth inventor: Jason Leigh Vincent**

Inventor's signature

Residence:

Citizenship:

Jason Vincent
Jealott's Hill International Research Centre
Bracknell, Berkshire, RG42 6ET Great BritainDate 5/21/02GBNBRITISH

Attorney Docket No. 109846-298 (SYN-128)

7-00

Full name of seventh inventor: Michael David Lee

Inventor's signature

Michael Lee

Date 05/20/2002

Residence:

Jealott's Hill International Research Centre

Bracknell, Berkshire, RG42 6ET Great Britain GBN

Citizenship: BRITISH